

Form IV-A

Short-term Inter-State Transactions of Electricity by Trading Licensees (RTC*)

Name of the Trading Licensee:

NTPC VIDYUT VYAPAR NIGAM LIMITED

Licence Details (No & Date) : Category-I ; No.6/Trading/CERC Dated 23rd July,2004.

Month: FEB-2014

| Sr.No | Period of Power Delivery | | Time of Power Delivery | | Scheduled Volume (Mus) | Purchased from | | | Sold to | | | Purchase Price (Rs/kwh) | Sale Price (Rs/kwh) | Trading Margin (Rs/kwh) | Remarks |
|--------------|---|-----------------------|------------------------|------------------|------------------------|--------------------|-----------------|--------------|-------------------|-----------------|---------------|-------------------------|---------------------|-------------------------|---------|
| | Start date (DD/MM/YYYY) | End date (DD/MM/YYYY) | Start Time (HH:MM) | End Time (HH:MM) | | Name of the Seller | Category | State | Name of the Buyer | Category | State | | | | |
| A | Inter State Trading Transactions | | | | | | | | | | | | | | |
| 1 | 01-02-2014 | 28-02-2014 | 00:00 | 24:00 | 1.692 | TSECL | Distribution li | Tripura | SPL | Indusry | Maharashtra | 3.730 | 3.800 | 0.070 | |
| 2 | 01-02-2014 | 28-02-2014 | 00:00 | 24:00 | 15.177 | BMM Ispat | Distribution li | Karnataka | KSEB | Distribution li | Kerala | 6.910 | 6.930 | 0.020 | |
| 3 | 05-02-2014 | 28-02-2014 | 00:00 | 24:00 | 14.400 | BMM Ispat | Distribution li | Karnataka | APPCC | Distribution li | Andhra Prade | 6.880 | 6.900 | 0.020 | |
| 4 | 01-02-2014 | 28-02-2014 | 00:00 | 24:00 | 75.463 | JPL | IPP | Chhattisgarh | UPPCL | Distribution li | Uttar Pradesh | 3.210 | 3.250 | 0.040 | \$ |
| 5 | 02-02-2014 | 06-02-2014 | 00:00 | 24:00 | 0.268 | GMR | IPP | Karnataka | SPL | Indusry | Maharashtra | 3.730 | 3.800 | 0.070 | |
| TOTAL | | | | | 107.000 | | | | | | | | | | |
| B | Inter State Trading Transactions through Swapping or Banking Arrangement | | | | | | | | | | | | | | |
| 1 | 01-02-2014 | 28-02-2014 | 00:00 | 24:00 | 60.725 | BYPL | Distribution li | Delhi | J&k PDD | Government | Jammu & Kas | -- | -- | 0.040 | |
| 2 | 01-02-2014 | 28-02-2014 | 00:00 | 24:00 | 11.377 | CSPDCL | Distribution li | Chhattisgarh | J&k PDD | Government | Jammu & Kas | -- | -- | 0.040 | |
| TOTAL | | | | | 72.102 | | | | | | | | | | |
| C | Cross Border Transactions NIL | | | | | | | | | | | | | | |

* RTC = Round The Clock (24 Hour i.e. from 00:00 to 24:00)

\$ = Purchase Price at Buyer periphery

= Purchase Price at seller periphery

Form IV-B

Short-term Inter-State Transactions of Electricity by Trading Licensees (Peak*)

Name of the Trading Licensee:

NTPC VIDYUT VYAPAR NIGAM LIMITED

Licence Details (No & Date) : Category-I ; No.6/Trading/CERC Dated 23rd July,2004.

Month:

FEB-2014

| Sr.No | Period of Power Delivery | | Time of Power Delivery | | Scheduled Volume (Mus) | Purchased from | | | Sold to | | | Purchase Price (Rs/Kwh) | Sale Price (Rs/kwh) | Trading Margin (Rs/kwh) | Remarks |
|----------|---|-----------------------|------------------------|------------------|------------------------|--------------------|-----------------|---------------|-------------------|-----------------|---------------|-------------------------|---------------------|-------------------------|---------|
| | Start date (DD/MM/YYYY) | End date (DD/MM/YYYY) | Start Time (HH:MM) | End Time (HH:MM) | | Name of the Seller | Category | State | Name of the Buyer | Category | State | | | | |
| A | Inter State Trading Transactions | | | | | | | | | | | | | | |
| 1 | 01-02-2014 | 28-02-2014 | 20:00 | 23:00 | 29.521 | JPVL | IPP | Himachal Pra | UPPCL | Distribution li | Uttar Pradesh | 3.600 | 3.630 | 0.030 | \$ |
| 2 | 01-02-2014 | 28-02-2014 | 18:00 | 23:00 | 3.808 | JPL | IPP | Chhattisgarh | NAGALAND | Government | Nagaland | 2.990 | 3.030 | 0.040 | |
| | TOTAL | | | | 33.329 | | | | | | | | | | |
| B | Inter State Trading Transactions through Swapping or Banking Arrangement : NIL | | | | | | | | | | | | | | |
| 1 | 01-02-2014 | 28-02-2014 | 17:00 | 23:00 | 56.616 | UPPCL | Distribution li | Uttar Pradesh | WBSEDCL | Distribution li | West Bengal | -- | -- | 0.040 | |
| | TOTAL | | | | 56.616 | | | | | | | | | | |
| C | Cross Border Transactions NIL | | | | | | | | | | | | | | |

* Peak = Peak Period (Evening Peak from 17:00hrs to 23:00hrs). Peak period may be revised by the commission

Form IV-C

Short-term Inter-State Transactions of Electricity by Trading Licensees (Other than Peak & RTC)

Name of the Trading Licensee:

NTPC VIDYUT VYAPAR NIGAM LIMITED

Licence Details (No & Date) : Category-I ; No.6/Trading/CERC Dated 23rd July,2004.

Month: FEB-2014

| Sr.No | Period of Power Delivery | | Time of Power Delivery | | Scheduled Volume (Mus) | Purchased from | | | Sold to | | | Purchase Price (Rs/Kwh) | Sale Price (Rs/kwh) | Trading Margin (Rs/kwh) | Remarks |
|--------------|---|-----------------------|------------------------|------------------|------------------------|--------------------|-----------------|---------------|-------------------|-----------------|---------------|-------------------------|---------------------|-------------------------|---------|
| | Start date (DD/MM/YYYY) | End date (DD/MM/YYYY) | Start Time (HH:MM) | End Time (HH:MM) | | Name of the Seller | Category | State | Name of the Buyer | Category | State | | | | |
| A | Inter State Trading Transactions | | | | | | | | | | | | | | |
| 1 | 01-02-2014 | 28-02-2014 | 17:00 | 24:00 | 0.019 | APL | IPP | Gujarat | SPL | Industry | Maharashtra | 3.750 | 3.800 | 0.050 | |
| 2 | 01-02-2014 | 28-02-2014 | 10:00 | 13:00 | 5.332 | JPVL | IPP | Himachal Pra | UPPCL | Distribution li | Uttar Pradesh | 3.450 | 3.480 | 0.030 | |
| TOTAL | | | | | 5.351 | | | | | | | | | | |
| B | Inter State Trading Transactions through Swapping or Banking Arrangement | | | | | | | | | | | | | | |
| 1 | 23-02-2014 | 28-02-2014 | 00:00 | 08:00 | 1.080 | TSECL | Distribution li | Tripura | MeECL | Distribution li | Meghalaya | -- | -- | 0.040 | |
| 2 | 01-02-2014 | 28-02-2014 | 00:00 | 06:00 | 13.864 | UPPCL | Distribution li | Uttar Pradesh | J&k PDD | Government | Jammu & Kas | -- | -- | 0.040 | |
| TOTAL | | | | | 14.944 | | | | | | | | | | |
| C | Cross Border Transactions NIL | | | | | | | | | | | | | | |

\$ = Purchase Price at Buyer periphery

= Purchase Price at seller periphery

Form IV-D

Long-term Inter-State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee:

NTPC VIDYUT VYAPAR NIGAM LIMITED

Licence Details (No & Date) : Category-I ; No.6/Trading/CERC Dated 23rd July,2004.

Month: JAN-2014

| Sr. No. | Period of Power Delivery | | Scheduled Volume | | | Purchased From | | | Sold To | | | Purchase Price | | Sale Price | **Trading Margin |
|--|--------------------------|-----------|------------------|-----------------|----------------|--|------------|--|---------------|-----------------|--------------|----------------------------------|----------------|---------------|------------------|
| | | | NVVN-Solar Power | NVVN-Coal Power | Bundled Power | Name of Seller | Category | State | Name of Buyer | Category | State | Wtd. Av.Rate of NVVN-Solar Power | *Bundled Power | Bundled Power | |
| | Start Date | End Date | MUs | MUs | MUs | | | | | | Rs / kWh | Rs / kWh | Rs / kWh | Rs / kWh | |
| A. Inter-State & Intra-State Trading Transactions | | | | | | | | | | | | | | | |
| 1 | 1-Jan-14 | 31-Jan-14 | 10.796 | 60.180 | 70.976 | NVVN-Solar Power from Solar Projects (JNNSM Phase-I) & NVVN-Coal Power from unallocated quota of NTPC Stations | Generators | Solar Projects located in Rajasthan, Punjab, Uttar Pradesh, Karnataka, Orissa, Andhra Pradesh, Tamil Nadu, Maharashtra & Northern Region = FGUTPS-1,2 &3, NCTPS-2, RHTPS-1,2 &3, SSTPS-1, Western Region = KSTPS-1 &3, MSTPS-1, SPTPS-1&2, VSTPS-1,2,3 &4, Southern Region = RSTPS-1&3, TSTPS-2, SMPTS-2, Eastern Region = FSTPS-1&3, KHTPS-1&2, TSTPS-1 | JVVNL | Distribution li | Rajasthan | 10.5516 | 3.9652 | 4.0352 | 0.0700 |
| 2 | 1-Jan-14 | 31-Jan-14 | 8.028 | 44.749 | 52.777 | | | | AVVNL | Distribution li | Rajasthan | | | | |
| 3 | 1-Jan-14 | 31-Jan-14 | 8.858 | 49.378 | 58.236 | | | | JdVVNL | Distribution li | Rajasthan | | | | |
| 4 | 1-Jan-14 | 31-Jan-14 | 3.921 | 16.224 | 20.145 | | | | PSPCL | Distribution li | Punjab | | | | |
| 5 | 1-Jan-14 | 31-Jan-14 | 8.606 | 37.276 | 45.881 | | | | UPPCL | Distribution li | U P | | | | |
| 6 | 1-Jan-14 | 31-Jan-14 | 2.692 | 14.697 | 17.389 | | | | MSEDCL | Distribution li | Maharashtra | | | | |
| 7 | 1-Jan-14 | 31-Jan-14 | 3.013 | 12.637 | 15.650 | | | | APCPDCL | Distribution li | Andhra Prade | | | | |
| 8 | 1-Jan-14 | 31-Jan-14 | 1.292 | 5.417 | 6.709 | | | | APEPDCL | Distribution li | Andhra Prade | | | | |
| 9 | 1-Jan-14 | 31-Jan-14 | 1.292 | 5.417 | 6.709 | | | | APNPDC | Distribution li | Andhra Prade | | | | |
| 10 | 1-Jan-14 | 31-Jan-14 | 0.587 | 3.372 | 3.958 | | | | TANGEDCO | Distribution li | Tamil Nadu | | | | |
| 11 | 1-Jan-14 | 31-Jan-14 | 4.980 | 22.476 | 27.455 | | | | BESCOM | Distribution li | Karnataka | | | | |
| 12 | 1-Jan-14 | 31-Jan-14 | 1.427 | 6.440 | 7.867 | | | | GESCOM | Distribution li | Karnataka | | | | |
| 13 | 1-Jan-14 | 31-Jan-14 | 2.012 | 9.083 | 11.096 | | | | HESCOM | Distribution li | Karnataka | | | | |
| 14 | 1-Jan-14 | 31-Jan-14 | 0.880 | 3.971 | 4.851 | | | | MESCOM | Distribution li | Karnataka | | | | |
| 15 | 1-Jan-14 | 31-Jan-14 | 1.101 | 4.971 | 6.073 | | | | CESC | Distribution li | Karnataka | | | | |
| 16 | 1-Jan-14 | 31-Jan-14 | 2.238 | 7.935 | 10.173 | | | | GRIDCO | Distribution li | Orissa | | | | |
| 17 | 1-Jan-14 | 31-Jan-14 | 5.220 | 21.222 | 26.442 | | | | WBSEDCL | Distribution li | West Bengal | | | | |
| 18 | 1-Jan-14 | 31-Jan-14 | 2.230 | 10.388 | 12.618 | | | | DVC | Government | DVC | | | | |
| 19 | 1-Jan-14 | 31-Jan-14 | 0.744 | 3.818 | 4.562 | | | | APDCL | Distribution li | Assam | | | | |
| Total | | | 69.916 | 339.651 | 409.566 | | | | | | | | | | |

* Bundled Power Purchase Price is computed on Wtd. Average Rate of NVVN-Solar Power and on scheduled NVVN-Coal Power by Discoms from NTPC Coal Stations as per CERC tariff.

** 7 p/kWh as fixed by MNRE.

Month: FEB-2014

| Sr.No | Period of Power Delivery | | Time of Power Delivery | | Scheduled Volume (Mus) | Purchased from | | | Sold to | | | Purchase Price (Rs/kwh) | Sale Price (Rs/kwh) | Trading Margin (Rs/kwh) | Remarks |
|---|--------------------------|-----------------------|------------------------|------------------|------------------------|--------------------|-----------|-------|-------------------|--------------|----------------|-------------------------|---------------------|-------------------------|---------|
| | Start date (DD/MM/YYYY) | End date (DD/MM/YYYY) | Start Time (HH:MM) | End Time (HH:MM) | | Name of the Seller | Category | State | Name of the Buyer | Category | State | | | | |
| B Inter State Trading Transactions through Swapping or Banking Arrangement NIL | | | | | | | | | | | | | | | |
| C Cross Border Transactions | | | | | | | | | | | | | | | |
| 1 | 01-02-2014 | 28-02-2014 | 00:00 | 24:00 | 174.050 | NTPC Stations | Generator | ISGS | NVVN A/c BPD | Nodal agency | for Bangladesh | 2.29 # | 2.33 ## | 0.040 | |

As per CERC notified tariff of respective stations

Purchase Price plus 4 p/kwh trading margin

Form IV-E Intra-State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee: **NTPC VIDYUT VYAPAR NIGAM LIMITED**

Month: **FEB-2014**

| Sr. No. | Total Transacted Volume | State |
|-------------------------------|-------------------------|----------------|
| 1 | 14.453 | Andhra Pradesh |
| 2 | | |
| 3 | | |
| TOTAL | 14.453 | |
| Long Term Transactions | | NIL |
| 1 | | |
| 2 | | |
| 3 | | |

Form IV-F

Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee:

NTPC VIDYUT VYAPAR NIGAM LIMITED

Month: **FEB-2014**

| Sr.No | Date of Delivery (DD/MM/YYYY) | Total Scheduled Volume (Mus) for each client | Purchase form | | Sold to | |
|-------|-------------------------------|--|-----------------------------|-------|----------------------------|-------|
| | | | Name of Seller / Name of PX | State | Name of Buyer / Name of PX | State |
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
| 6 | | | | | | |
| 7 | | | | | | |
| 8 | | | | | | |
| 9 | | | | | | |
| 10 | | | | | | |

| Sr. No. | Name of the Client | PX | | PXIL | |
|---------|--------------------|---|---|---|---|
| | | Margin charged when MCP is lesser than or equal to ₹3/Kwh (₹/Kwh) | Margin charged when MCP is greater than ₹3/Kwh (₹Kwh) | Margin charged when MCP is lesser than or equal to ₹3/Kwh (₹/Kwh) | Margin charged when MCP is greater than or ₹3/Kwh (₹/Kwh) |
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |
| 6 | | | | | |
| 7 | | | | | |
| 8 | | | | | |

Form IV-H**Renewable Energy Certificates(REC) Trading on Power Exchange by Trading Licensees**

Name of the Trading Licensee:

NTPC VIDYUT VYAPAR NIGAM LIMITED

Date of Trading: DD/MM/YYYY

| Sr. No. | Name of REC Client | Client Type (Renewable generator / Obligated Entities | Name of Power Exchange | Volume (RECs) | Market Clea ring Price (`/REC) | Trading Margin (`/KWh) |
|-----------------------|--------------------|---|------------------------------|------------------|--------------------------------------|------------------------------|
| Non Solar RECs | | | | | | |
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| Solar RECs | | | NIL | | | |
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |

Form IV-I**Open Position of Inter-State Electricity Contracts by Trading Licensees**

Name of the Trading Licensee:

NTPC VIDYUT VYAPAR NIGAM LIMITED

Month:

FEB-2014

| Long Position of Trader (Purchased but not sold) | | | | |
|--|-------------------------------|--|---------------------------|-------------------------|
| Sr.No | Date of Contract (DD/MM/YYYY) | Uncontracted tenure (Open Position) in Days / Months | Uncontracted Volume (Mus) | Purchase Price (₹/kwh)* |
| 1 | | | | |
| 2 | | | | |
| 3 | | | | |
| 4 | | | | |
| Short Position of Trader (Sale but not purchased) | | | | |
| Sr.No | Date of Contract (DD/MM/YYYY) | Uncontracted tenure (Open Position) in Days / Months | Uncontracted Volume (Mus) | Purchase Price (₹/kwh)* |
| 1 | | | | |
| 2 | | | | |
| 3 | | | | |
| 4 | | | | |

* Price has to be reported separately for each contract.

List of abbreviations

| Abbreviated form | Details | Category | Abbreviated form of | Details | Category |
|------------------|---|-----------------------|---------------------|---|-----------------------|
| APCPDCL | Central Power Distribution Company of A.P. Ltd | Distribution li | MSPL | Mahendra Sponge & Power Ltd. | CPP |
| APDCL | Assam Power Distribution Company Ltd. | CPP | MSPSPL | MSP Steel & Power Ltd. | CPP |
| APEPDCL | Eastern Power Distribution Company of A.P. Ltd | Distribution li | NBVL | Nava Bharat Ventures Limited | CPP |
| APNPDC | Northern Power Distribution Company of A.P. Ltd | Distribution licensee | | | |
| APL | Adani Power Limited | IPP | NPCL | Noida Power Company Ltd. | Distribution licensee |
| APPCC | Andhra Pradesh Power Coordination Committee | Distribution li | NTPC | NTPC Ltd. | Generator |
| AVVNL | Ajmer Vidyut Vitran Nigam Ltd | Distribution li | Nagaland | Govt. of Nagaland Power Department | Government |
| BALCO | Bharat Aluminium Company Ltd. | CPP | PSPCL | Punjab State Power Corporation Ltd. | Distribution licensee |
| BESCOM | Bangalore Electricity Supply Company Limited | Distribution li | RDPPC | Rajasthan Discoms Power Procurement Centre | Distribution licensee |
| BMM | BMM Ispat Ltd | Bangladesh G | RF | Regassified (Liquid Natural Gas) Fuel | |
| BPDB | Bangladesh Power Development Board | Distribution li | RINFRA | Reliance Infrastructure Limited | Distribution licensee |
| BRPL | BSES Rajdhani Power Limited | Distribution li | RIPL | Real Ispat & Power Ltd. | CPP |
| BYPL | BSES Yamuna Power Limited | Distribution li | RREL | RR Energy Ltd. | CPP |
| CESC | Chamundeshwari Electricity Supply Corporation Limited | Distribution li | RSIPIL | Rashmi Sponge Iron & Power Industries Ltd. | CPP |
| CPP | Captive Power Producer | CPP | RSTPS | Ramagundam Super Thermal Power Station | Generator |
| CSPDCL | Chhattisgarh State Power Distribution Company Limited | Distribution li | SAIL-DSP | Steel Authority of India Ltd-Durgapur Steel Plant | CPP |
| DVC | Damodar Valley Corporation | Government | SAL Steel | SAL Steel Ltd. | CPP |
| ESIL | Essar Steel India Ltd | CPP | SBPIL | Shri Bajrang Power & Ispat Ltd. | CPP |
| GESCOM | Gulbarga Electricity Supply Company Limited | Distribution li | SCPL | Sai Chemicals Private Limited | CPP |
| GUVNL | Gujarat Urja Vikas Nigam Limited | Distribution li | SEML | Sarda Energy & Minerals Ltd. | CPP |
| Govt. of Goa | Govt. of Goa | Government | Sikkim EPD | Govt. of Sikkim Energy & Power Department | Government |
| GMR | GMR Energy Limited | IPP | SNIL | Shree Nakoda Ispat Ltd. | CPP |
| HESCOM | Hubli Electricity Supply Company Limited | Distribution li | SPL | Sandoz Private Limited | industry |
| HPPC | Haryana Power Purchasing Centre | Distribution li | STL | Sterlite Technologies Ltd | CPP |
| IPP | Independent Power Producer | | TANGEDCO | Tamil Nadu Generation & Distribution Company | Distribution licensee |
| ISGS | Inter State Generating Station | | TISCO | TATA Iron & Steel Company Ltd. | CPP |
| J&K PDD | Jammu & Kashmir Power Development Dept. | Government | Torrent Powe | Torrent Power Limited | IPP |
| JPL | Jindal Power Ltd. | CPP | TSECL | Tripura State Electricity Corporation Ltd | Distribution licensee |
| JPVL | Jaiprakash Power Ventures Ltd. | Generator | TVNL | Tenughat Vidyut Nigam Ltd. | Generator |
| JVVNL | Jaipur Vidyut Vitran Nigam Ltd | Distribution li | UPCL | Uttarakhand Power Corporation Ltd. | Distribution licensee |
| JdVVNL | Jodhpur Vidyut Vitran Nigam Ltd | Distribution li | UPPCL | Uttar Pradesh Power Corporation Ltd. | Distribution licensee |
| JSEB | Jharkhand State Electricity Board | Distribution li | URS | Unrequisitioned surplus from NTPC Stations | |
| KSEB | Kerala State Electricity Board | Distribution li | UT CHD | Union Territory of Chandigarh | Government |
| MPL | Maithon Power Limited | Industry | VESPL | Vandanaa Energy & Steel (P) Ltd | CPP |
| MeECL | Meghalaya Energy Corporation Ltd. | Distribution li | VGL | Vandana Global Ltd. | CPP |
| MESCOM | Mangalore Electricity Supply Company Limited | Distribution li | VIL | Vasvani Industries Ltd. | CPP |
| MIEL,Raigarh | Monnet Ispat & Energy Ltd., Raigarh | CPP | VSTPS | Vindhyachal Super Thermal Power Station | Generator |
| MIEL,Raipur | Monnet Ispat & Energy Ltd., Raipur | CPP | VVL | Vandana Vidyut Ltd. | CPP |
| MSEDCL | Maharashtra State Electricity Distribution Company Ltd. | Distribution li | WBSEDCL | West Bengal State Electricity Distribution Company Ltd. | Distribution licensee |