

## Form IV-A

## Short-term Inter-State Transactions of Electricity by Trading Licensees ( RTC\*)

Name of the Trading Licensee:

NTPC VIDYUT VYAPAR NIGAM LIMITED

Licence Details (No &amp; Date) : Category-I ; No.6/Trading/CERC Dated 23rd July,2004.

Month: **Oct-16**

| Sr.No        | Period of Power Delivery   |                       | Time of Power Delivery |                  | Scheduled Volume (Mus) | Purchased from     |           |           | Sold to           |                    |           | Purchase Price (Rs/Kwh) | Sale Price (Rs/kwh) | Trading Margin (Rs/kwh) | Remarks |
|--------------|--|-----------------------|------------------------|------------------|------------------------|--------------------|-----------|-----------|-------------------|--------------------|-----------|-------------------------|---------------------|-------------------------|---------|
|              | Start date (DD/MM/YYYY)  | End date (DD/MM/YYYY) | Start Time (HH:MM)     | End Time (HH:MM) |                        | Name of the Seller | Category  | State     | Name of the Buyer | Category           | State     |                         |                     |                         |         |
| <b>A</b>     | <b>Inter State Trading Transactions</b>  |                       |                        |                  |                        |                    |           |           |                   |                    |           |                         |                     |                         |         |
| 1            | 01-10-2016   | 31-10-2016            | 00:00                  | 24:00            | 1.200                  | Malco Energy       | IPP       | Tamilnadu | Telangana         | Distribution licer | Telangana | 4.603                   | 4.633               | 0.030                   |         |
| 2            | 01-10-2016   | 31-10-2016            | 00:00                  | 24:00            | 16.809                 | SEL Copper         | IPP       | Tamilnadu | Telangana         | Distribution licer | Telangana | 4.603                   | 4.633               | 0.030                   |         |
| 3            | 02-10-2016   | 11-10-2016            | 00:00                  | 24:00            | 10.421                 | KORBA-III          | Generator | CGS       | UPPCL             | Distribution licer | U.P.      | 3.980                   | 4.000               | 0.020                   |         |
| 4            | 02-10-2016   | 11-10-2016            | 00:00                  | 24:00            | 10.940                 | Farakka-III        | Generator | CGS       | UPPCL             | Distribution licer | U.P.      | 3.980                   | 4.000               | 0.020                   |         |
| 5            | 02-10-2016   | 11-10-2016            | 00:00                  | 24:00            | 106.535                | Jhajhar            | Generator | CGS       | UPPCL             | Distribution licer | U.P.      | 3.980                   | 4.000               | 0.020                   |         |
| <b>TOTAL</b> |  |                       |                        |                  | <b>145.904</b>         |                    |           |           |                   |                    |           |                         |                     |                         |         |
| <b>B</b>     | <b>Inter State Trading Transactions through Swapping or Banking Arrangement: NIL</b> |                       |                        |                  |                        |                    |           |           |                   |                    |           |                         |                     |                         |         |
| <b>C</b>     | <b>Cross Border Transactions</b>   |                       |                        |                  |                        |                    |           |           |                   |                    |           |                         |                     |                         |         |
| 1            | 29-10-2016   | 31-10-2016            | 00:00                  | 24:00            | 1.312                  | Farakka-III        | IPP       | Odisha    | NEA               | Distribution lic   | Nepal     | 3.080                   | 3.600               | 0.070                   | *       |
| <b>TOTAL</b> |  |                       |                        |                  | <b>1.312</b>           |                    |           |           |                   |                    |           |                         |                     |                         |         |

\* Sale rate is inclusive of NVVN trading margin, transmission charges, transmission losses etc.

## Form IV-B

## Short-term Inter-State Transactions of Electricity by Trading Licensees ( Peak\*)

Name of the Trading Licensee:

NTPC VIDYUT VYAPAR NIGAM LIMITED

Licence Details (No &amp; Date) : Category-I ; No.6/Trading/CERC Dated 23rd July,2004.

Month: **Oct-16**

| Sr.No        | Period of Power Delivery  |                       | Time of Power Delivery |                  | Scheduled Volume (Mus) | Purchased from     |                   |               | Sold to           |                   |         | Purchase Price (Rs/Kwh) | Sale Price (Rs/kwh) | Trading Margin (Rs/kwh) | Remarks |
|--------------|---|-----------------------|------------------------|------------------|------------------------|--------------------|-------------------|---------------|-------------------|-------------------|---------|-------------------------|---------------------|-------------------------|---------|
|              | Start date (DD/MM/YYYY)   | End date (DD/MM/YYYY) | Start Time (HH:MM)     | End Time (HH:MM) |                        | Name of the Seller | Category          | State         | Name of the Buyer | Category          | State   |                         |                     |                         |         |
| <b>A</b>     | <b>Inter State Trading Transactions :</b>   |                       |                        |                  |                        |                    |                   |               |                   |                   |         |                         |                     |                         |         |
| 1            | 30-10-2016  | 30-10-2016            | 18:00                  | 22:00            | 0.235                  | DB POWER           | IPP               | Chhatisgarh   | Govt of Goa       | Distribution lice | Goa     | 3.010                   | 3.040               | 0.030                   |         |
| 2            | 25-10-2016  | 25-10-2016            | 18:00                  | 22:00            | 0.200                  | BALCO              | CPP               | Chhatisgarh   | TSECL             | Distribution lice | Tripura | 3.800                   | 3.870               | 0.070                   |         |
| <b>TOTAL</b> |   |                       |                        |                  | <b>0.235</b>           |                    |                   |               |                   |                   |         |                         |                     |                         |         |
| <b>B</b>     | <b>Inter State Trading Transactions through Swapping or Banking Arrangement :</b> |                       |                        |                  |                        |                    |                   |               |                   |                   |         |                         |                     |                         |         |
| 1            | 07-10-2016  | 11-10-2016            | 17:00                  | 22:00            | 0.750                  | Jaypee Nigree      | Distribution lice | Madhya Prades | TSECL             | Distribution lice | Tripura | -                       | -                   | 0.040                   |         |
| <b>TOTAL</b> |   |                       |                        |                  | <b>0.750</b>           |                    |                   |               |                   |                   |         |                         |                     |                         |         |
| <b>C</b>     | <b>Cross Border Transactions NIL</b>  |                       |                        |                  |                        |                    |                   |               |                   |                   |         |                         |                     |                         |         |

\* Peak = Peak Period (Evening Peak from 17:00hrs to 23:00hrs). Peak period may be revised by the commission

## Form IV-C

## Short-term Inter-State Transactions of Electricity by Trading Licensees ( Other than Peak &amp; RTC)

Name of the Trading Licensee:

**NTPC VIDYUT VYAPAR NIGAM LIMITED**

Licence Details (No &amp; Date) : Category-I ; No.6/Trading/CERC Dated 23rd July,2004.

Month: **Oct-16**

| Sr.No        | Period of Power Delivery  |                       | Time of Power Delivery |                  | Scheduled Volume (Mus) | Purchased from     |          |       | Sold to           |          |       | Purchase Price (Rs/kwh) | Sale Price (Rs/kwh) | Trading Margin (Rs/kwh) | Remarks |
|--------------|---|-----------------------|------------------------|------------------|------------------------|--------------------|----------|-------|-------------------|----------|-------|-------------------------|---------------------|-------------------------|---------|
|              | Start date (DD/MM/YYYY)   | End date (DD/MM/YYYY) | Start Time (HH:MM)     | End Time (HH:MM) |                        | Name of the Seller | Category | State | Name of the Buyer | Category | State |                         |                     |                         |         |
| <b>A</b>     | <b>Inter State Trading Transactions</b>   |                       |                        |                  |                        |                    |          |       |                   |          |       |                         |                     |                         |         |
| <b>TOTAL</b> |   |                       |                        |                  | <b>NIL</b>             |                    |          |       |                   |          |       |                         |                     |                         |         |
| <b>B</b>     | <b>Inter State Trading Transactions through Swapping or Banking Arrangement</b> |                       |                        |                  |                        |                    |          |       |                   |          |       |                         |                     |                         |         |
| <b>TOTAL</b> |   |                       |                        |                  | <b>NIL</b>             |                    |          |       |                   |          |       |                         |                     |                         |         |
| <b>C</b>     | <b>Cross Border Transactions</b>  |                       |                        |                  |                        |                    |          |       |                   |          |       |                         |                     |                         |         |
| <b>TOTAL</b> |   |                       |                        |                  | <b>NIL</b>             |                    |          |       |                   |          |       |                         |                     |                         |         |

Long-term Inter-State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee:

NTPC VIDYUT VYAPAR NIGAM LIMITED

Licence Details (No & Date) : Category-I ; No.6/Trading/CERC Dated 23rd July,2004.

Month: **Sep-2016**

**FORM IV-D**

| Sr. No.   | Period of transaction |           | Actually Scheduled Volume |                 |                     | Purchased From   |             |  | Sold To       |              |              | Purchase Price                     |                                    |                    | Sale Price         | Trading Margin | Remarks |
|---|-----------------------|-----------|---------------------------|-----------------|---------------------|--|-------------|--|---------------|--------------|--------------|------------------------------------|------------------------------------|--------------------|--------------------|----------------|---------|
|   |                       |           | NVVN-Solar Power          | NVVN-Coal Power | Total Bundled Power | Name of Seller   | Category    | State  | Name of Buyer | Category     | State        | Wtd. Avg. Rate of NVVN-Solar Power | *Wtd. Avg. Rate of NVVN-Coal Power | Bundled Power Rate | Bundled Power Rate |                |         |
|   | Date From             | Date To   | MUs                       | MUs             | MUs                 |  |             |  |               |              |              |                                    |                                    |                    |                    |                |         |
| <b>Inter-State &amp; Intra-State Trading Transactions</b> |                       |           |                           |                 |                     |  |             |  |               |              |              |                                    |                                    |                    |                    |                |         |
| 1   | 1-Sep-16              | 30-Sep-16 | 19.913                    | 67.433          | 87.347              | NVVN-Solar Power from Solar Projects (JNNSM Phase-I) & NVVN-Coal Power from unallocated quota of NTPC Stations | Generator s | Solar Projects located in Rajasthan, Punjab, Uttar Pradesh, Karnataka, Orissa, Andhra Pradesh, Tamil Nadu, Maharashtra & Northern Region = FGUTPS-1,2 &3, NCTPS-2, | JVVNL         | Distribution | Rajasthan    | 10.6299                            | 2.979                              | 4.724              | 4.794              | 0.07           |         |
| 2   | 1-Sep-16              | 30-Sep-16 | 15.931                    | 53.947          | 69.877              |  |             |  | JdVVNL        | Distribution | Rajasthan    |                                    |                                    |                    |                    |                |         |
| 3   | 1-Sep-16              | 30-Sep-16 | 13.939                    | 47.203          | 61.143              |  |             |  | AVVNL         | Distribution | Rajasthan    |                                    |                                    |                    |                    |                |         |
| 4   | 1-Sep-16              | 30-Sep-16 | 5.513                     | 19.675          | 25.188              |  |             |  | PSPCL         | Distribution | Punjab       |                                    |                                    |                    |                    |                |         |
| 5   | 1-Sep-16              | 30-Sep-16 | 12.448                    | 51.016          | 63.464              |  |             |  | UPPCL         | Distribution | U P          |                                    |                                    |                    |                    |                |         |
| 6   | 1-Sep-16              | 30-Sep-16 | 2.965                     | 7.360           | 10.325              |  |             |  | DVC           | Government   | DVC          |                                    |                                    |                    |                    |                |         |
| 7   | 1-Sep-16              | 30-Sep-16 | 2.787                     | 11.614          | 14.401              |  |             |  | GRIDCO        | Distribution | Orissa       |                                    |                                    |                    |                    |                |         |
| 8   | 1-Sep-16              | 30-Sep-16 | 6.462                     | 27.721          | 34.183              |  |             |  | WBSEDCL       | Distribution | West Bengal  |                                    |                                    |                    |                    |                |         |
| 9   | 1-Sep-16              | 30-Sep-16 | 0.701                     | 2.560           | 3.260               |  |             |  | APDCL         | Distribution | Assam        |                                    |                                    |                    |                    |                |         |
| 10  | 1-Sep-16              | 30-Sep-16 | 1.657                     | 12.367          | 14.024              |  |             |  | MSEDCL        | Distribution | Maharashtra  |                                    |                                    |                    |                    |                |         |
| 11  | 1-Sep-16              | 30-Sep-16 | 2.703                     | 17.337          | 20.040              |  |             |  | CSPDCL        | Distribution | Chhatisgarh  |                                    |                                    |                    |                    |                |         |
| 12  | 1-Sep-16              | 30-Sep-16 | 1.487                     | 17.323          | 18.809              |  |             |  | TSSPDCL       | Distribution | Telangana    |                                    |                                    |                    |                    |                |         |
| 13  | 1-Sep-16              | 30-Sep-16 | 0.621                     | 7.231           | 7.852               |  |             |  | TSNPDCL       | Distribution | Telangana    |                                    |                                    |                    |                    |                |         |
| 14  | 1-Sep-16              | 30-Sep-16 | 0.594                     | 7.177           | 7.771               |  |             |  | APEPDCL       | Distribution | Andhra Prade |                                    |                                    |                    |                    |                |         |
| 15  | 1-Sep-16              | 30-Sep-16 | 1.139                     | 13.765          | 14.904              |  |             |  | APSPDCL       | Distribution | Andhra Prade |                                    |                                    |                    |                    |                |         |
| 16  | 1-Sep-16              | 30-Sep-16 | 0.704                     | 2.709           | 3.412               |  |             |  | TANGEDCO      | Distribution | Tamil Nadu   |                                    |                                    |                    |                    |                |         |
| 17  | 1-Sep-16              | 30-Sep-16 | 4.837                     | 18.002          | 22.839              |  |             |  | BESCOM        | Distribution | Karnataka    |                                    |                                    |                    |                    |                |         |
| 18  | 1-Sep-16              | 30-Sep-16 | 1.385                     | 5.154           | 6.539               |  |             |  | GESCOM        | Distribution | Karnataka    |                                    |                                    |                    |                    |                |         |
| 19  | 1-Sep-16              | 30-Sep-16 | 1.969                     | 7.330           | 9.299               |  |             |  | HESCOM        | Distribution | Karnataka    |                                    |                                    |                    |                    |                |         |
| 20  | 1-Sep-16              | 30-Sep-16 | 0.812                     | 3.021           | 3.832               |  |             |  | MESCOM        | Distribution | Karnataka    |                                    |                                    |                    |                    |                |         |
| 21  | 1-Sep-16              | 30-Sep-16 | 1.119                     | 4.164           | 5.282               |  |             |  | CESC          | Distribution | Karnataka    |                                    |                                    |                    |                    |                |         |
| <b>Total</b>  |                       |           | <b>99.684</b>             | <b>404.108</b>  | <b>503.792</b>      |  |             |  |               |              |              |                                    |                                    |                    |                    |                |         |

\* Wtd. Average Rate for Power from NTPC Stations as per CERC tariff based on scheduled energy by Discoms.

| Month: <b>Oct-16</b> |   |                       |                        |                  |                        |                    |              |              |                   |                     |                |                     |             |
|----------------------|---|-----------------------|------------------------|------------------|------------------------|--------------------|--------------|--------------|-------------------|---------------------|----------------|---------------------|-------------|
| Sr.No                | Period of Power Delivery  |                       | Time of Power Delivery |                  | Scheduled Volume (Mus) | Purchased from     |              |              | Sold to           |                     |                | Sale Price (Rs/kwh) |             |
|                      | Start date (DD/MM/YYYY)   | End date (DD/MM/YYYY) | Start Time (HH:MM)     | End Time (HH:MM) |                        | Name of the Seller | Category     | State        | Name of the Buyer | Category            | State          |                     |             |
| 1                    | 01-10-2016  | 31-10-2016            | 00:00                  | 24:00            | 218.518                | CSPDCL             | Distribution | Chhattisgarh | KSEB              | Distribution licens | Kerala         | 4.360               |             |
| 2                    | 01-10-2016  | 31-10-2016            | 07:30                  | 18:30            | 1.005                  | JCFPL              | IPP          | Gujarat      | Govt. of GOA      | Government          | GOA            | 7.990               | Solar Power |
| <b>TOTAL</b>         |   |                       |                        |                  | <b>219.523</b>         |                    |              |              |                   |                     |                |                     |             |
| <b>B</b>             | <b>Inter State Trading Transactions through Swapping or Banking Arrangement</b> |                       |                        |                  |                        |                    |              | <b>NIL</b>   |                   |                     |                |                     |             |
| <b>C</b>             | <b>Cross Border Transactions</b>  |                       |                        |                  |                        |                    |              |              |                   |                     |                |                     |             |
| 1                    | 01-10-2016  | 31-10-2016            | 00:00                  | 24:00            | 159.580                | NTPC Stations      | Generator    | ISGS         | NVVN A/c BPDB     | Nodal agency        | for Bangladesh | #2.598              |             |
| 2                    | 01-10-2016  | 31-10-2016            | 00:00                  | 24:00            | 56.227                 | TSECL              | Generator    | Tripura      | NVVN A/c BPDB     | Nodal agency        | for Bangladesh | 5.5424              |             |
| <b>TOTAL</b>         |   |                       |                        |                  | <b>215.807</b>         |                    |              |              |                   |                     |                |                     |             |

# As per CERC notified tariff of respective stations

**Form IV-E Intra-State Transactions of Electricity by Trading Licensees**

Name of the Trading Licensee: **NTPC VIDYUT VYAPAR NIGAM LIMITED**

Licence Details (No & Date) : Category-I ; No.6/Trading/CERC Dated 23rd July, 2004.

Month: **October-2016**

| Sr. No.                       | Total Transacted Volume (Mus) | State      |
|-------------------------------|-------------------------------|------------|
| 1                             |                               |            |
|                               |                               |            |
| <b>Long Term Transactions</b> |                               | <b>NIL</b> |
| 1                             |                               |            |
| 2                             |                               |            |
| 3                             |                               |            |

## Form IV-F

## Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee:

NTPC VIDYUT VYAPAR NIGAM LIMITED

Licence Details (No &amp; Date) : Category-I : No.6/Trading/CERC Dated 23rd July, 2004.

Form IV-F

Month: October-2016

| Sl No | Date of Delivery | Total Scheduled Volume (MUs) | Purchase form               |               | Sold to                    |            | Margin charged (₹/kwh) |
|-------|------------------|------------------------------|-----------------------------|---------------|----------------------------|------------|------------------------|
|       |                  |                              | Name of Seller / Name of PX | State         | Name of Buyer / Name of PX | State      |                        |
| 1     | 01-Oct-16        | 0.037600                     | IEX                         |               | CIL                        | Rajasthan  | ≤0.04                  |
| 2     | 01-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat    | ≤0.04                  |
| 3     | 01-Oct-16        | 0.100000                     | Govt. of Goa                | Goa           | IEX                        |            | ≤0.04                  |
| 4     | 01-Oct-16        | 0.500000                     | IEX                         |               | Govt. of Goa               | Goa        | ≤0.04                  |
| 5     | 01-Oct-16        | 0.037293                     | NLC TS-I Exp                | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 6     | 01-Oct-16        | 0.219303                     | NLC TS-II STG I             | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 7     | 01-Oct-16        | 0.087510                     | NLC TS-II STG II            | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 8     | 01-Oct-16        | 0.001100                     | IEX                         |               | CMBD                       | Tamil Nadu | ≤0.04                  |
| 9     | 01-Oct-16        | 0.001643                     | IEX                         |               | CMGN                       | Tamil Nadu | ≤0.04                  |
| 10    | 01-Oct-16        | 0.011850                     | IEX                         |               | KLMB                       | Tamil Nadu | ≤0.04                  |
| 11    | 01-Oct-16        | 0.077550                     | IEX                         |               | NMMH                       | Karnataka  | ≤0.04                  |
| 12    | 01-Oct-16        | 0.001963                     | IEX                         |               | PANM                       | Tamil Nadu | ≤0.04                  |
| 13    | 01-Oct-16        | 0.013968                     | IEX                         |               | PNRS                       | Tamil Nadu | ≤0.04                  |
| 14    | 01-Oct-16        | 0.001313                     | IEX                         |               | SRVM                       | Tamil Nadu | ≤0.04                  |
| 15    | 01-Oct-16        | 0.295275                     | FSTPS-III                   | West Bengal   | IEX                        |            | ≤0.04                  |
| 16    | 01-Oct-16        | 1.660800                     | KSTPS-III                   | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 17    | 02-Oct-16        | 0.037600                     | IEX                         |               | CIL                        | Rajasthan  | ≤0.04                  |
| 18    | 02-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat    | ≤0.04                  |
| 19    | 02-Oct-16        | 0.320000                     | Govt. of Goa                | Goa           | IEX                        |            | ≤0.04                  |
| 20    | 02-Oct-16        | 0.200000                     | IEX                         |               | Govt. of Goa               | Goa        | ≤0.04                  |
| 21    | 02-Oct-16        | 0.740900                     | NLC TS-I Exp                | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 22    | 02-Oct-16        | 0.355250                     | NLC TS-II STG I             | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 23    | 02-Oct-16        | 0.292450                     | NLC TS-II STG II            | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 24    | 02-Oct-16        | 0.600000                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 25    | 02-Oct-16        | 0.003388                     | IEX                         |               | KLMB                       | Tamil Nadu | ≤0.04                  |
| 26    | 02-Oct-16        | 0.023100                     | IEX                         |               | NMMH                       | Karnataka  | ≤0.04                  |
| 27    | 02-Oct-16        | 0.013858                     | IEX                         |               | PNRS                       | Tamil Nadu | ≤0.04                  |
| 28    | 02-Oct-16        | 0.000065                     | IEX                         |               | SRVM                       | Tamil Nadu | ≤0.04                  |
| 29    | 02-Oct-16        | 0.105000                     | FSTPS-III                   | West Bengal   | IEX                        |            | ≤0.04                  |
| 30    | 02-Oct-16        | 1.399935                     | KSTPS-III                   | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 31    | 03-Oct-16        | 0.033600                     | IEX                         |               | CIL                        | Rajasthan  | ≤0.04                  |
| 32    | 03-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat    | ≤0.04                  |
| 33    | 03-Oct-16        | 0.250000                     | Govt. of Goa                | Goa           | IEX                        |            | ≤0.04                  |
| 34    | 03-Oct-16        | 0.550000                     | IEX                         |               | Govt. of Goa               | Goa        | ≤0.04                  |
| 35    | 03-Oct-16        | 0.002363                     | NLC TS-I Exp                | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 36    | 03-Oct-16        | 0.029700                     | NLC TS-II STG I             | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 37    | 03-Oct-16        | 0.262500                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 38    | 03-Oct-16        | 0.002443                     | IEX                         |               | CMBD                       | Tamil Nadu | ≤0.04                  |
| 39    | 03-Oct-16        | 0.003648                     | IEX                         |               | CMGN                       | Tamil Nadu | ≤0.04                  |
| 40    | 03-Oct-16        | 0.008400                     | IEX                         |               | KLMB                       | Tamil Nadu | ≤0.04                  |
| 41    | 03-Oct-16        | 0.056100                     | IEX                         |               | NMMH                       | Karnataka  | ≤0.04                  |
| 42    | 03-Oct-16        | 0.006050                     | IEX                         |               | PANM                       | Tamil Nadu | ≤0.04                  |
| 43    | 03-Oct-16        | 0.005485                     | IEX                         |               | PNRS                       | Tamil Nadu | ≤0.04                  |
| 44    | 03-Oct-16        | 0.002643                     | IEX                         |               | SRVM                       | Tamil Nadu | ≤0.04                  |
| 45    | 04-Oct-16        | 0.073600                     | IEX                         |               | CIL                        | Rajasthan  | ≤0.04                  |
| 46    | 04-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat    | ≤0.04                  |
| 47    | 04-Oct-16        | 0.250000                     | Govt. of Goa                | Goa           | IEX                        |            | ≤0.04                  |
| 48    | 04-Oct-16        | 0.550000                     | IEX                         |               | Govt. of Goa               | Goa        | ≤0.04                  |
| 49    | 04-Oct-16        | 0.002475                     | NLC TS-I Exp                | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 50    | 04-Oct-16        | 0.044400                     | NLC TS-II STG II            | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 51    | 04-Oct-16        | 0.243550                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 52    | 04-Oct-16        | 0.003948                     | IEX                         |               | CMBD                       | Tamil Nadu | ≤0.04                  |
| 53    | 04-Oct-16        | 0.004173                     | IEX                         |               | CMGN                       | Tamil Nadu | ≤0.04                  |
| 54    | 04-Oct-16        | 0.013800                     | IEX                         |               | KLMB                       | Tamil Nadu | ≤0.04                  |
| 55    | 04-Oct-16        | 0.079200                     | IEX                         |               | NMMH                       | Karnataka  | ≤0.04                  |
| 56    | 04-Oct-16        | 0.006370                     | IEX                         |               | PANM                       | Tamil Nadu | ≤0.04                  |
| 57    | 04-Oct-16        | 0.008895                     | IEX                         |               | PNRS                       | Tamil Nadu | ≤0.04                  |
| 58    | 04-Oct-16        | 0.004298                     | IEX                         |               | SRVM                       | Tamil Nadu | ≤0.04                  |
| 59    | 04-Oct-16        | 0.012988                     | RhSTPS-I                    | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 60    | 05-Oct-16        | 0.073600                     | IEX                         |               | CIL                        | Rajasthan  | ≤0.04                  |
| 61    | 05-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat    | ≤0.04                  |
| 62    | 05-Oct-16        | 0.250000                     | Govt. of Goa                | Goa           | IEX                        |            | ≤0.04                  |
| 63    | 05-Oct-16        | 0.550000                     | IEX                         |               | Govt. of Goa               | Goa        | ≤0.04                  |
| 64    | 05-Oct-16        | 0.033300                     | NLC TS-II STG II            | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 65    | 05-Oct-16        | 0.320718                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |            | ≤0.04                  |

Month: **October-2016**

Form IV-F

| SI No | Date of Delivery | Total Scheduled Volume (MUS) | Purchase form               |               | Sold to                    |            | Margin charged (₹/kwh) |
|-------|------------------|------------------------------|-----------------------------|---------------|----------------------------|------------|------------------------|
|       |                  |                              | Name of Seller / Name of PX | State         | Name of Buyer / Name of PX | State      |                        |
| 66    | 05-Oct-16        | 0.003738                     | IEX                         |               | CMBD                       | Tamil Nadu | ≤0.04                  |
| 67    | 05-Oct-16        | 0.003685                     | IEX                         |               | CMGN                       | Tamil Nadu | ≤0.04                  |
| 68    | 05-Oct-16        | 0.014250                     | IEX                         |               | KLMB                       | Tamil Nadu | ≤0.04                  |
| 69    | 05-Oct-16        | 0.076800                     | IEX                         |               | NMMH                       | Karnataka  | ≤0.04                  |
| 70    | 05-Oct-16        | 0.006283                     | IEX                         |               | PANM                       | Tamil Nadu | ≤0.04                  |
| 71    | 05-Oct-16        | 0.008378                     | IEX                         |               | PNRS                       | Tamil Nadu | ≤0.04                  |
| 72    | 05-Oct-16        | 0.004188                     | IEX                         |               | SRVM                       | Tamil Nadu | ≤0.04                  |
| 73    | 06-Oct-16        | 0.073600                     | IEX                         |               | CIL                        | Rajasthan  | ≤0.04                  |
| 74    | 06-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat    | ≤0.04                  |
| 75    | 06-Oct-16        | 0.250000                     | Govt. of Goa                | Goa           | IEX                        |            | ≤0.04                  |
| 76    | 06-Oct-16        | 0.550000                     | IEX                         |               | Govt. of Goa               | Goa        | ≤0.04                  |
| 77    | 06-Oct-16        | 0.024750                     | NLC TS-II STG II            | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 78    | 06-Oct-16        | 0.199488                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 79    | 06-Oct-16        | 0.004300                     | IEX                         |               | CMBD                       | Tamil Nadu | ≤0.04                  |
| 80    | 06-Oct-16        | 0.014400                     | IEX                         |               | KLMB                       | Tamil Nadu | ≤0.04                  |
| 81    | 06-Oct-16        | 0.076800                     | IEX                         |               | NMMH                       | Karnataka  | ≤0.04                  |
| 82    | 06-Oct-16        | 0.009600                     | IEX                         |               | PNRS                       | Tamil Nadu | ≤0.04                  |
| 83    | 06-Oct-16        | 0.018750                     | FGUTPS-II                   | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 84    | 06-Oct-16        | 0.012250                     | FGUTPS-III                  | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 85    | 06-Oct-16        | 0.415200                     | KSTPS-III                   | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 86    | 06-Oct-16        | 0.075048                     | NCTPS-I                     | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 87    | 06-Oct-16        | 0.501165                     | NCTPS-II                    | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 88    | 06-Oct-16        | 0.032250                     | RhSTPS-I                    | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 89    | 06-Oct-16        | 0.031750                     | RhSTPS-II                   | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 90    | 07-Oct-16        | 0.073600                     | IEX                         |               | CIL                        | Rajasthan  | ≤0.04                  |
| 91    | 07-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat    | ≤0.04                  |
| 92    | 07-Oct-16        | 0.250000                     | Govt. of Goa                | Goa           | IEX                        |            | ≤0.04                  |
| 93    | 07-Oct-16        | 0.550000                     | IEX                         |               | Govt. of Goa               | Goa        | ≤0.04                  |
| 94    | 07-Oct-16        | 0.366650                     | NLC TS-I Exp                | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 95    | 07-Oct-16        | 0.216480                     | NLC TS-II STG I             | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 96    | 07-Oct-16        | 0.281423                     | NLC TS-II STG II            | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 97    | 07-Oct-16        | 0.187500                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 98    | 07-Oct-16        | 0.004300                     | IEX                         |               | CMBD                       | Tamil Nadu | ≤0.04                  |
| 99    | 07-Oct-16        | 0.014400                     | IEX                         |               | KLMB                       | Tamil Nadu | ≤0.04                  |
| 100   | 07-Oct-16        | 0.076800                     | IEX                         |               | NMMH                       | Karnataka  | ≤0.04                  |
| 101   | 07-Oct-16        | 0.007200                     | IEX                         |               | PANM                       | Tamil Nadu | ≤0.04                  |
| 102   | 07-Oct-16        | 0.009600                     | IEX                         |               | PNRS                       | Tamil Nadu | ≤0.04                  |
| 103   | 07-Oct-16        | 0.004800                     | IEX                         |               | SRVM                       | Tamil Nadu | ≤0.04                  |
| 104   | 08-Oct-16        | 0.058400                     | IEX                         |               | CIL                        | Rajasthan  | ≤0.04                  |
| 105   | 08-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat    | ≤0.04                  |
| 106   | 08-Oct-16        | 0.100000                     | Govt. of Goa                | Goa           | IEX                        |            | ≤0.04                  |
| 107   | 08-Oct-16        | 0.500000                     | IEX                         |               | Govt. of Goa               | Goa        | ≤0.04                  |
| 108   | 08-Oct-16        | 0.123750                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 109   | 08-Oct-16        | 0.004650                     | IEX                         |               | CMBD                       | Tamil Nadu | ≤0.04                  |
| 110   | 08-Oct-16        | 0.014400                     | IEX                         |               | KLMB                       | Tamil Nadu | ≤0.04                  |
| 111   | 08-Oct-16        | 0.076800                     | IEX                         |               | NMMH                       | Karnataka  | ≤0.04                  |
| 112   | 08-Oct-16        | 0.006975                     | IEX                         |               | PANM                       | Tamil Nadu | ≤0.04                  |
| 113   | 08-Oct-16        | 0.009300                     | IEX                         |               | PNRS                       | Tamil Nadu | ≤0.04                  |
| 114   | 08-Oct-16        | 0.004650                     | IEX                         |               | SRVM                       | Tamil Nadu | ≤0.04                  |
| 115   | 08-Oct-16        | 0.863525                     | Gandhar GPS                 | Gujarat       | IEX                        |            | ≤0.04                  |
| 116   | 08-Oct-16        | 0.067500                     | RhSTPS-II                   | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 117   | 08-Oct-16        | 0.003000                     | RhSTPS-iii                  | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 118   | 08-Oct-16        | 0.066750                     | SSTPS                       | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 119   | 09-Oct-16        | 0.028800                     | IEX                         |               | CIL                        | Rajasthan  | ≤0.04                  |
| 120   | 09-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat    | ≤0.04                  |
| 121   | 09-Oct-16        | 0.325000                     | Govt. of Goa                | Goa           | IEX                        |            | ≤0.04                  |
| 122   | 09-Oct-16        | 0.200000                     | IEX                         |               | Govt. of Goa               | Goa        | ≤0.04                  |
| 123   | 09-Oct-16        | 0.037500                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 124   | 09-Oct-16        | 0.003600                     | IEX                         |               | KLMB                       | Tamil Nadu | ≤0.04                  |
| 125   | 09-Oct-16        | 0.076800                     | IEX                         |               | NMMH                       | Karnataka  | ≤0.04                  |
| 126   | 09-Oct-16        | 0.002800                     | IEX                         |               | PNRS                       | Tamil Nadu | ≤0.04                  |
| 127   | 09-Oct-16        | 0.364630                     | Gandhar GPS                 | Gujarat       | IEX                        |            | ≤0.04                  |
| 128   | 09-Oct-16        | 0.031250                     | RhSTPS-I                    | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 129   | 09-Oct-16        | 0.040750                     | RhSTPS-II                   | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 130   | 09-Oct-16        | 0.059250                     | SSTPS                       | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 131   | 10-Oct-16        | 0.028800                     | IEX                         |               | CIL                        | Rajasthan  | ≤0.04                  |
| 132   | 10-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat    | ≤0.04                  |
| 133   | 10-Oct-16        | 0.250000                     | Govt. of Goa                | Goa           | IEX                        |            | ≤0.04                  |
| 134   | 10-Oct-16        | 0.550000                     | IEX                         |               | Govt. of Goa               | Goa        | ≤0.04                  |
| 135   | 10-Oct-16        | 0.024750                     | NLC TS-II STG II            | Tamil Nadu    | IEX                        |            | ≤0.04                  |

Month: **October-2016**

Form IV-F

| SI No | Date of Delivery | Total Scheduled Volume (MUs) | Purchase form               |               | Sold to                    |            | Margin charged (₹/kwh) |
|-------|------------------|------------------------------|-----------------------------|---------------|----------------------------|------------|------------------------|
|       |                  |                              | Name of Seller / Name of PX | State         | Name of Buyer / Name of PX | State      |                        |
| 136   | 10-Oct-16        | 0.043163                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 137   | 10-Oct-16        | 0.021000                     | IEX                         |               | NMMH                       | Karnataka  | ≤0.04                  |
| 138   | 10-Oct-16        | 0.399630                     | Gandhar GPS                 | Gujarat       | IEX                        |            | ≤0.04                  |
| 139   | 11-Oct-16        | 0.319200                     | IEX                         |               | CIL                        | Rajasthan  | ≤0.04                  |
| 140   | 11-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat    | ≤0.04                  |
| 141   | 11-Oct-16        | 0.100000                     | Govt. of Goa                | Goa           | IEX                        |            | ≤0.04                  |
| 142   | 11-Oct-16        | 0.350000                     | IEX                         |               | Govt. of Goa               | Goa        | ≤0.04                  |
| 143   | 11-Oct-16        | 0.003400                     | IEX                         |               | CMBD                       | Tamil Nadu | ≤0.04                  |
| 144   | 11-Oct-16        | 0.009600                     | IEX                         |               | KLMB                       | Tamil Nadu | ≤0.04                  |
| 145   | 11-Oct-16        | 0.007200                     | IEX                         |               | PANM                       | Tamil Nadu | ≤0.04                  |
| 146   | 11-Oct-16        | 0.006800                     | IEX                         |               | PNRS                       | Tamil Nadu | ≤0.04                  |
| 147   | 11-Oct-16        | 0.003400                     | IEX                         |               | SRVM                       | Tamil Nadu | ≤0.04                  |
| 148   | 11-Oct-16        | 0.011685                     | Gandhar GPS                 | Gujarat       | IEX                        |            | ≤0.04                  |
| 149   | 11-Oct-16        | 0.012000                     | RhSTPS-I                    | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 150   | 11-Oct-16        | 0.015000                     | RhSTPS-II                   | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 151   | 11-Oct-16        | 0.027500                     | SSTPS                       | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 152   | 12-Oct-16        | 0.047200                     | IEX                         |               | CIL                        | Rajasthan  | ≤0.04                  |
| 153   | 12-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat    | ≤0.04                  |
| 154   | 12-Oct-16        | 0.330000                     | Govt. of Goa                | Goa           | IEX                        |            | ≤0.04                  |
| 155   | 12-Oct-16        | 0.550000                     | IEX                         |               | Govt. of Goa               | Goa        | ≤0.04                  |
| 156   | 12-Oct-16        | 0.004950                     | NLC TS-II STG I             | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 157   | 12-Oct-16        | 0.004950                     | NLC TS-II STG II            | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 158   | 12-Oct-16        | 0.055783                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 159   | 12-Oct-16        | 0.004650                     | IEX                         |               | CMBD                       | Tamil Nadu | ≤0.04                  |
| 160   | 12-Oct-16        | 0.004600                     | IEX                         |               | CMGN                       | Tamil Nadu | ≤0.04                  |
| 161   | 12-Oct-16        | 0.014400                     | IEX                         |               | KLMB                       | Tamil Nadu | ≤0.04                  |
| 162   | 12-Oct-16        | 0.051000                     | IEX                         |               | NMMH                       | Karnataka  | ≤0.04                  |
| 163   | 12-Oct-16        | 0.007125                     | IEX                         |               | PANM                       | Tamil Nadu | ≤0.04                  |
| 164   | 12-Oct-16        | 0.009500                     | IEX                         |               | PNRS                       | Tamil Nadu | ≤0.04                  |
| 165   | 12-Oct-16        | 0.004750                     | IEX                         |               | SRVM                       | Tamil Nadu | ≤0.04                  |
| 166   | 12-Oct-16        | 0.816770                     | Gandhar GPS                 | Gujarat       | IEX                        |            | ≤0.04                  |
| 167   | 12-Oct-16        | 1.660800                     | KSTPS-III                   | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 168   | 13-Oct-16        | 0.047200                     | IEX                         |               | CIL                        | Rajasthan  | ≤0.04                  |
| 169   | 13-Oct-16        | 0.168000                     | IEX                         |               | GNFC-D                     | Gujarat    | ≤0.04                  |
| 170   | 13-Oct-16        | 0.300000                     | Govt. of Goa                | Goa           | IEX                        |            | ≤0.04                  |
| 171   | 13-Oct-16        | 0.550000                     | IEX                         |               | Govt. of Goa               | Goa        | ≤0.04                  |
| 172   | 13-Oct-16        | 0.154138                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 173   | 13-Oct-16        | 0.004800                     | IEX                         |               | CMBD                       | Tamil Nadu | ≤0.04                  |
| 174   | 13-Oct-16        | 0.004718                     | IEX                         |               | CMGN                       | Tamil Nadu | ≤0.04                  |
| 175   | 13-Oct-16        | 0.014400                     | IEX                         |               | KLMB                       | Tamil Nadu | ≤0.04                  |
| 176   | 13-Oct-16        | 0.072000                     | IEX                         |               | NMMH                       | Karnataka  | ≤0.04                  |
| 177   | 13-Oct-16        | 0.007200                     | IEX                         |               | PANM                       | Tamil Nadu | ≤0.04                  |
| 178   | 13-Oct-16        | 0.009600                     | IEX                         |               | PNRS                       | Tamil Nadu | ≤0.04                  |
| 179   | 13-Oct-16        | 0.004800                     | IEX                         |               | SRVM                       | Tamil Nadu | ≤0.04                  |
| 180   | 13-Oct-16        | 1.076070                     | Gandhar GPS                 | Gujarat       | IEX                        |            | ≤0.04                  |
| 181   | 13-Oct-16        | 1.660800                     | KSTPS-III                   | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 182   | 14-Oct-16        | 0.051200                     | IEX                         |               | CIL                        | Rajasthan  | ≤0.04                  |
| 183   | 14-Oct-16        | 0.168000                     | IEX                         |               | GNFC-D                     | Gujarat    | ≤0.04                  |
| 184   | 14-Oct-16        | 0.300000                     | Govt. of Goa                | Goa           | IEX                        |            | ≤0.04                  |
| 185   | 14-Oct-16        | 0.550000                     | IEX                         |               | Govt. of Goa               | Goa        | ≤0.04                  |
| 186   | 14-Oct-16        | 0.018620                     | NLC TS-II STG I             | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 187   | 14-Oct-16        | 0.040755                     | NLC TS-II STG II            | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 188   | 14-Oct-16        | 0.166568                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 189   | 14-Oct-16        | 0.002145                     | IEX                         |               | CMBD                       | Tamil Nadu | ≤0.04                  |
| 190   | 14-Oct-16        | 0.003250                     | IEX                         |               | CMGN                       | Tamil Nadu | ≤0.04                  |
| 191   | 14-Oct-16        | 0.014400                     | IEX                         |               | KLMB                       | Tamil Nadu | ≤0.04                  |
| 192   | 14-Oct-16        | 0.072000                     | IEX                         |               | NMMH                       | Karnataka  | ≤0.04                  |
| 193   | 14-Oct-16        | 0.006228                     | IEX                         |               | PANM                       | Tamil Nadu | ≤0.04                  |
| 194   | 14-Oct-16        | 0.008303                     | IEX                         |               | PNRS                       | Tamil Nadu | ≤0.04                  |
| 195   | 14-Oct-16        | 0.004150                     | IEX                         |               | SRVM                       | Tamil Nadu | ≤0.04                  |
| 196   | 14-Oct-16        | 0.019425                     | FSTPS-III                   | West Bengal   | IEX                        |            | ≤0.04                  |
| 197   | 14-Oct-16        | 0.385020                     | Gandhar GPS                 | Gujarat       | IEX                        |            | ≤0.04                  |
| 198   | 14-Oct-16        | 1.660800                     | KSTPS-III                   | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 199   | 14-Oct-16        | 0.001250                     | RhSTPS-I                    | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 200   | 14-Oct-16        | 0.002513                     | RhSTPS-II                   | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 201   | 15-Oct-16        | 0.047200                     | IEX                         |               | CIL                        | Rajasthan  | ≤0.04                  |
| 202   | 15-Oct-16        | 0.168000                     | IEX                         |               | GNFC-D                     | Gujarat    | ≤0.04                  |
| 203   | 15-Oct-16        | 0.300000                     | Govt. of Goa                | Goa           | IEX                        |            | ≤0.04                  |
| 204   | 15-Oct-16        | 0.500000                     | IEX                         |               | Govt. of Goa               | Goa        | ≤0.04                  |
| 205   | 15-Oct-16        | 0.239483                     | NLC TS-II STG I             | Tamil Nadu    | IEX                        |            | ≤0.04                  |



Month: **October-2016**

Form IV-F

| SI No | Date of Delivery | Total Scheduled Volume (MUs) | Purchase form               |                | Sold to                    |            | Margin charged (₹/kwh) |
|-------|------------------|------------------------------|-----------------------------|----------------|----------------------------|------------|------------------------|
|       |                  |                              | Name of Seller / Name of PX | State          | Name of Buyer / Name of PX | State      |                        |
| 206   | 15-Oct-16        | 0.202693                     | NLC TS-II STG II            | Tamil Nadu     | IEX                        |            | ≤0.04                  |
| 207   | 15-Oct-16        | 0.450953                     | NSPCL-Bh                    | Chhatisgarh    | IEX                        |            | ≤0.04                  |
| 208   | 15-Oct-16        | 0.001470                     | IEX                         |                | CMBD                       | Tamil Nadu | ≤0.04                  |
| 209   | 15-Oct-16        | 0.001035                     | IEX                         |                | CMGN                       | Tamil Nadu | ≤0.04                  |
| 210   | 15-Oct-16        | 0.012600                     | IEX                         |                | KLMB                       | Tamil Nadu | ≤0.04                  |
| 211   | 15-Oct-16        | 0.072000                     | IEX                         |                | NMMH                       | Karnataka  | ≤0.04                  |
| 212   | 15-Oct-16        | 0.002570                     | IEX                         |                | PANM                       | Tamil Nadu | ≤0.04                  |
| 213   | 15-Oct-16        | 0.004538                     | IEX                         |                | PNRS                       | Tamil Nadu | ≤0.04                  |
| 214   | 15-Oct-16        | 0.091775                     | FSTPS-III                   | West Bengal    | IEX                        |            | ≤0.04                  |
| 215   | 15-Oct-16        | 0.480000                     | Gandhar GPS                 | Gujarat        | IEX                        |            | ≤0.04                  |
| 216   | 15-Oct-16        | 1.660800                     | KSTPS-III                   | Chhatisgarh    | IEX                        |            | ≤0.04                  |
| 217   | 15-Oct-16        | 0.022750                     | SmSTPS-II                   | Andhra Pradesh | IEX                        |            | ≤0.04                  |
| 218   | 16-Oct-16        | 0.056000                     | IEX                         |                | CIL                        | Rajasthan  | ≤0.04                  |
| 219   | 16-Oct-16        | 0.216000                     | IEX                         |                | GNFC-D                     | Gujarat    | ≤0.04                  |
| 220   | 16-Oct-16        | 0.390000                     | Govt. of Goa                | Goa            | IEX                        |            | ≤0.04                  |
| 221   | 16-Oct-16        | 0.200000                     | IEX                         |                | Govt. of Goa               | Goa        | ≤0.04                  |
| 222   | 16-Oct-16        | 0.004950                     | NLC TS-I Exp                | Tamil Nadu     | IEX                        |            | ≤0.04                  |
| 223   | 16-Oct-16        | 0.019800                     | NLC TS-II STG I             | Tamil Nadu     | IEX                        |            | ≤0.04                  |
| 224   | 16-Oct-16        | 0.029600                     | NLC TS-II STG II            | Tamil Nadu     | IEX                        |            | ≤0.04                  |
| 225   | 16-Oct-16        | 0.219725                     | NSPCL-Bh                    | Chhatisgarh    | IEX                        |            | ≤0.04                  |
| 226   | 16-Oct-16        | 0.003600                     | IEX                         |                | KLMB                       | Tamil Nadu | ≤0.04                  |
| 227   | 16-Oct-16        | 0.021700                     | IEX                         |                | NMMH                       | Karnataka  | ≤0.04                  |
| 228   | 16-Oct-16        | 0.002280                     | IEX                         |                | PNRS                       | Tamil Nadu | ≤0.04                  |
| 229   | 16-Oct-16        | 0.840000                     | Gandhar GPS                 | Gujarat        | IEX                        |            | ≤0.04                  |
| 230   | 16-Oct-16        | 1.660800                     | KSTPS-III                   | Chhatisgarh    | IEX                        |            | ≤0.04                  |
| 231   | 16-Oct-16        | 0.012250                     | RhSTPS-I                    | Uttar Pradesh  | IEX                        |            | ≤0.04                  |
| 232   | 16-Oct-16        | 0.022250                     | RhSTPS-II                   | Uttar Pradesh  | IEX                        |            | ≤0.04                  |
| 233   | 16-Oct-16        | 0.007500                     | RhSTPS-iii                  | Uttar Pradesh  | IEX                        |            | ≤0.04                  |
| 234   | 17-Oct-16        | 0.056000                     | IEX                         |                | CIL                        | Rajasthan  | ≤0.04                  |
| 235   | 17-Oct-16        | 0.216000                     | IEX                         |                | GNFC-D                     | Gujarat    | ≤0.04                  |
| 236   | 17-Oct-16        | 0.300000                     | Govt. of Goa                | Goa            | IEX                        |            | ≤0.04                  |
| 237   | 17-Oct-16        | 0.550000                     | IEX                         |                | Govt. of Goa               | Goa        | ≤0.04                  |
| 238   | 17-Oct-16        | 0.002475                     | NLC TS-I Exp                | Tamil Nadu     | IEX                        |            | ≤0.04                  |
| 239   | 17-Oct-16        | 0.366700                     | NLC TS-II STG I             | Tamil Nadu     | IEX                        |            | ≤0.04                  |
| 240   | 17-Oct-16        | 0.222200                     | NLC TS-II STG II            | Tamil Nadu     | IEX                        |            | ≤0.04                  |
| 241   | 17-Oct-16        | 0.173373                     | NSPCL-Bh                    | Chhatisgarh    | IEX                        |            | ≤0.04                  |
| 242   | 17-Oct-16        | 0.006150                     | IEX                         |                | KLMB                       | Tamil Nadu | ≤0.04                  |
| 243   | 17-Oct-16        | 0.052700                     | IEX                         |                | NMMH                       | Karnataka  | ≤0.04                  |
| 244   | 17-Oct-16        | 0.002438                     | IEX                         |                | PANM                       | Tamil Nadu | ≤0.04                  |
| 245   | 17-Oct-16        | 0.001318                     | IEX                         |                | PNRS                       | Tamil Nadu | ≤0.04                  |
| 246   | 17-Oct-16        | 0.470900                     | Gandhar GPS                 | Gujarat        | IEX                        |            | ≤0.04                  |
| 247   | 17-Oct-16        | 1.663200                     | KSTPS-III                   | Chhatisgarh    | IEX                        |            | ≤0.04                  |
| 248   | 17-Oct-16        | 0.012000                     | RhSTPS-I                    | Uttar Pradesh  | IEX                        |            | ≤0.04                  |
| 249   | 17-Oct-16        | 0.055040                     | RhSTPS-II                   | Uttar Pradesh  | IEX                        |            | ≤0.04                  |
| 250   | 17-Oct-16        | 0.004250                     | RhSTPS-iii                  | Uttar Pradesh  | IEX                        |            | ≤0.04                  |
| 251   | 17-Oct-16        | 0.013750                     | SSTPS                       | Uttar Pradesh  | IEX                        |            | ≤0.04                  |
| 252   | 18-Oct-16        | 0.084800                     | IEX                         |                | CIL                        | Rajasthan  | ≤0.04                  |
| 253   | 18-Oct-16        | 0.192000                     | IEX                         |                | GNFC-D                     | Gujarat    | ≤0.04                  |
| 254   | 18-Oct-16        | 0.300000                     | Govt. of Goa                | Goa            | IEX                        |            | ≤0.04                  |
| 255   | 18-Oct-16        | 0.550000                     | IEX                         |                | Govt. of Goa               | Goa        | ≤0.04                  |
| 256   | 18-Oct-16        | 0.017325                     | NLC TS-I Exp                | Tamil Nadu     | IEX                        |            | ≤0.04                  |
| 257   | 18-Oct-16        | 0.356350                     | NLC TS-II STG I             | Tamil Nadu     | IEX                        |            | ≤0.04                  |
| 258   | 18-Oct-16        | 0.244200                     | NLC TS-II STG II            | Tamil Nadu     | IEX                        |            | ≤0.04                  |
| 259   | 18-Oct-16        | 0.025000                     | NSPCL-Bh                    | Chhatisgarh    | IEX                        |            | ≤0.04                  |
| 260   | 18-Oct-16        | 0.001235                     | IEX                         |                | CMBD                       | Tamil Nadu | ≤0.04                  |
| 261   | 18-Oct-16        | 0.006000                     | IEX                         |                | KLMB                       | Tamil Nadu | ≤0.04                  |
| 262   | 18-Oct-16        | 0.074400                     | IEX                         |                | NMMH                       | Karnataka  | ≤0.04                  |
| 263   | 18-Oct-16        | 0.002868                     | IEX                         |                | PNRS                       | Tamil Nadu | ≤0.04                  |
| 264   | 18-Oct-16        | 0.480000                     | Gandhar GPS                 | Gujarat        | IEX                        |            | ≤0.04                  |
| 265   | 18-Oct-16        | 1.663200                     | KSTPS-III                   | Chhatisgarh    | IEX                        |            | ≤0.04                  |
| 266   | 18-Oct-16        | 0.022750                     | RhSTPS-I                    | Uttar Pradesh  | IEX                        |            | ≤0.04                  |
| 267   | 18-Oct-16        | 0.058750                     | RhSTPS-II                   | Uttar Pradesh  | IEX                        |            | ≤0.04                  |
| 268   | 18-Oct-16        | 0.015000                     | RhSTPS-iii                  | Uttar Pradesh  | IEX                        |            | ≤0.04                  |
| 269   | 18-Oct-16        | 0.015500                     | SSTPS                       | Uttar Pradesh  | IEX                        |            | ≤0.04                  |
| 270   | 19-Oct-16        | 0.058400                     | IEX                         |                | CIL                        | Rajasthan  | ≤0.04                  |
| 271   | 19-Oct-16        | 0.216000                     | IEX                         |                | GNFC-D                     | Gujarat    | ≤0.04                  |
| 272   | 19-Oct-16        | 0.200000                     | Govt. of Goa                | Goa            | IEX                        |            | ≤0.04                  |
| 273   | 19-Oct-16        | 0.600000                     | IEX                         |                | Govt. of Goa               | Goa        | ≤0.04                  |
| 274   | 19-Oct-16        | 0.009900                     | NLC TS-I Exp                | Tamil Nadu     | IEX                        |            | ≤0.04                  |
| 275   | 19-Oct-16        | 0.296400                     | NLC TS-II STG I             | Tamil Nadu     | IEX                        |            | ≤0.04                  |

Month: **October-2016**

Form IV-F

| SI No | Date of Delivery | Total Scheduled Volume (MUS) | Purchase form               |               | Sold to                    |            | Margin charged (₹/kwh) |
|-------|------------------|------------------------------|-----------------------------|---------------|----------------------------|------------|------------------------|
|       |                  |                              | Name of Seller / Name of PX | State         | Name of Buyer / Name of PX | State      |                        |
| 276   | 19-Oct-16        | 0.236800                     | NLC TS-II STG II            | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 277   | 19-Oct-16        | 0.481295                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 278   | 19-Oct-16        | 0.001415                     | IEX                         |               | CMBD                       | Tamil Nadu | ≤0.04                  |
| 279   | 19-Oct-16        | 0.009688                     | IEX                         |               | KLMB                       | Tamil Nadu | ≤0.04                  |
| 280   | 19-Oct-16        | 0.074400                     | IEX                         |               | NMMH                       | Karnataka  | ≤0.04                  |
| 281   | 19-Oct-16        | 0.480000                     | Gandhar GPS                 | Gujarat       | IEX                        |            | ≤0.04                  |
| 282   | 19-Oct-16        | 1.663200                     | KSTPS-III                   | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 283   | 20-Oct-16        | 0.058400                     | IEX                         |               | CIL                        | Rajasthan  | ≤0.04                  |
| 284   | 20-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat    | ≤0.04                  |
| 285   | 20-Oct-16        | 0.250000                     | Govt. of Goa                | Goa           | IEX                        |            | ≤0.04                  |
| 286   | 20-Oct-16        | 0.650000                     | IEX                         |               | Govt. of Goa               | Goa        | ≤0.04                  |
| 287   | 20-Oct-16        | 0.002375                     | NLC TS-I Exp                | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 288   | 20-Oct-16        | 0.185000                     | NLC TS-II STG II            | Tamil Nadu    | IEX                        |            | ≤0.04                  |
| 289   | 20-Oct-16        | 0.491958                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 290   | 20-Oct-16        | 0.007645                     | IEX                         |               | KLMB                       | Tamil Nadu | ≤0.04                  |
| 291   | 20-Oct-16        | 0.074400                     | IEX                         |               | NMMH                       | Karnataka  | ≤0.04                  |
| 292   | 20-Oct-16        | 0.003828                     | IEX                         |               | PNRS                       | Tamil Nadu | ≤0.04                  |
| 293   | 20-Oct-16        | 0.144875                     | FSTPS-III                   | West Bengal   | IEX                        |            | ≤0.04                  |
| 294   | 20-Oct-16        | 0.480000                     | Gandhar GPS                 | Gujarat       | IEX                        |            | ≤0.04                  |
| 295   | 20-Oct-16        | 1.663200                     | KSTPS-III                   | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 296   | 20-Oct-16        | 0.009000                     | RhSTPS-II                   | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 297   | 21-Oct-16        | 0.058400                     | IEX                         |               | CIL                        | Rajasthan  | ≤0.04                  |
| 298   | 21-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat    | ≤0.04                  |
| 299   | 21-Oct-16        | 0.250000                     | Govt. of Goa                | Goa           | IEX                        |            | ≤0.04                  |
| 300   | 21-Oct-16        | 0.650000                     | IEX                         |               | Govt. of Goa               | Goa        | ≤0.04                  |
| 301   | 21-Oct-16        | 0.882933                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 302   | 21-Oct-16        | 0.072000                     | IEX                         |               | NMMH                       | Karnataka  | ≤0.04                  |
| 303   | 21-Oct-16        | 0.011250                     | FGUTPS-I                    | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 304   | 21-Oct-16        | 0.018750                     | FGUTPS-II                   | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 305   | 21-Oct-16        | 0.006250                     | FGUTPS-III                  | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 306   | 21-Oct-16        | 0.350000                     | FSTPS-III                   | West Bengal   | IEX                        |            | ≤0.04                  |
| 307   | 21-Oct-16        | 0.480000                     | Gandhar GPS                 | Gujarat       | IEX                        |            | ≤0.04                  |
| 308   | 21-Oct-16        | 1.663200                     | KSTPS-III                   | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 309   | 21-Oct-16        | 0.009150                     | NCTPS-I                     | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 310   | 21-Oct-16        | 0.084175                     | NCTPS-II                    | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 311   | 21-Oct-16        | 0.061750                     | RhSTPS-II                   | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 312   | 21-Oct-16        | 0.010750                     | RhSTPS-III                  | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 313   | 22-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat    | ≤0.04                  |
| 314   | 22-Oct-16        | 0.250000                     | Govt. of Goa                | Goa           | IEX                        |            | ≤0.04                  |
| 315   | 22-Oct-16        | 0.185000                     | IEX                         |               | Govt. of Goa               | Goa        | ≤0.04                  |
| 316   | 22-Oct-16        | 1.071688                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 317   | 22-Oct-16        | 0.074400                     | IEX                         |               | NMMH                       | Karnataka  | ≤0.04                  |
| 318   | 22-Oct-16        | 0.028000                     | FGUTPS-I                    | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 319   | 22-Oct-16        | 0.045500                     | FGUTPS-II                   | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 320   | 22-Oct-16        | 0.017500                     | FGUTPS-III                  | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 321   | 22-Oct-16        | 0.405175                     | FSTPS-III                   | West Bengal   | IEX                        |            | ≤0.04                  |
| 322   | 22-Oct-16        | 0.960000                     | Gandhar GPS                 | Gujarat       | IEX                        |            | ≤0.04                  |
| 323   | 22-Oct-16        | 1.663200                     | KSTPS-III                   | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 324   | 22-Oct-16        | 0.008000                     | RhSTPS-I                    | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 325   | 23-Oct-16        | 0.056000                     | IEX                         |               | CIL                        | Rajasthan  | ≤0.04                  |
| 326   | 23-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat    | ≤0.04                  |
| 327   | 23-Oct-16        | 0.580000                     | Govt. of Goa                | Goa           | IEX                        |            | ≤0.04                  |
| 328   | 23-Oct-16        | 0.025000                     | IEX                         |               | Govt. of Goa               | Goa        | ≤0.04                  |
| 329   | 23-Oct-16        | 1.112500                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 330   | 23-Oct-16        | 0.074400                     | IEX                         |               | NMMH                       | Karnataka  | ≤0.04                  |
| 331   | 23-Oct-16        | 0.206425                     | FSTPS-III                   | West Bengal   | IEX                        |            | ≤0.04                  |
| 332   | 23-Oct-16        | 1.440000                     | Gandhar GPS                 | Gujarat       | IEX                        |            | ≤0.04                  |
| 333   | 23-Oct-16        | 1.663200                     | KSTPS-III                   | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 334   | 23-Oct-16        | 0.006500                     | RhSTPS-I                    | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 335   | 23-Oct-16        | 0.005750                     | RhSTPS-II                   | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 336   | 24-Oct-16        | 0.053600                     | IEX                         |               | CIL                        | Rajasthan  | ≤0.04                  |
| 337   | 24-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat    | ≤0.04                  |
| 338   | 24-Oct-16        | 0.250000                     | Govt. of Goa                | Goa           | IEX                        |            | ≤0.04                  |
| 339   | 24-Oct-16        | 0.250000                     | IEX                         |               | Govt. of Goa               | Goa        | ≤0.04                  |
| 340   | 24-Oct-16        | 1.156955                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 341   | 24-Oct-16        | 0.074400                     | IEX                         |               | NMMH                       | Karnataka  | ≤0.04                  |
| 342   | 24-Oct-16        | 0.019500                     | FGUTPS-II                   | Uttar Pradesh | IEX                        |            | ≤0.04                  |
| 343   | 24-Oct-16        | 0.402500                     | FSTPS-III                   | West Bengal   | IEX                        |            | ≤0.04                  |
| 344   | 24-Oct-16        | 1.663200                     | KSTPS-III                   | Chhatisgarh   | IEX                        |            | ≤0.04                  |
| 345   | 24-Oct-16        | 0.021000                     | NCTPS-I                     | Uttar Pradesh | IEX                        |            | ≤0.04                  |

Month: **October-2016**

Form IV-F

| SI No | Date of Delivery | Total Scheduled Volume (MUs) | Purchase form               |               | Sold to                    |           | Margin charged (₹/kwh) |
|-------|------------------|------------------------------|-----------------------------|---------------|----------------------------|-----------|------------------------|
|       |                  |                              | Name of Seller / Name of PX | State         | Name of Buyer / Name of PX | State     |                        |
| 346   | 24-Oct-16        | 0.286625                     | NCTPS-II                    | Uttar Pradesh | IEX                        |           | ≤0.04                  |
| 347   | 25-Oct-16        | 0.056000                     | IEX                         |               | CIL                        | Rajasthan | ≤0.04                  |
| 348   | 25-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat   | ≤0.04                  |
| 349   | 25-Oct-16        | 0.250000                     | Govt. of Goa                | Goa           | IEX                        |           | ≤0.04                  |
| 350   | 25-Oct-16        | 0.250000                     | IEX                         |               | Govt. of Goa               | Goa       | ≤0.04                  |
| 351   | 25-Oct-16        | 0.024750                     | NLC TS-II STG I             | Tamil Nadu    | IEX                        |           | ≤0.04                  |
| 352   | 25-Oct-16        | 0.778588                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |           | ≤0.04                  |
| 353   | 25-Oct-16        | 0.074400                     | IEX                         |               | NMMH                       | Karnataka | ≤0.04                  |
| 354   | 25-Oct-16        | 0.024000                     | FGUTPS-I                    | Uttar Pradesh | IEX                        |           | ≤0.04                  |
| 355   | 25-Oct-16        | 0.039000                     | FGUTPS-II                   | Uttar Pradesh | IEX                        |           | ≤0.04                  |
| 356   | 25-Oct-16        | 0.015000                     | FGUTPS-III                  | Uttar Pradesh | IEX                        |           | ≤0.04                  |
| 357   | 25-Oct-16        | 0.315000                     | FSTPS-III                   | West Bengal   | IEX                        |           | ≤0.04                  |
| 358   | 25-Oct-16        | 0.475000                     | Gandhar GPS                 | Gujarat       | IEX                        |           | ≤0.04                  |
| 359   | 25-Oct-16        | 1.663200                     | KSTPS-III                   | Chhatisgarh   | IEX                        |           | ≤0.04                  |
| 360   | 25-Oct-16        | 0.144725                     | NCTPS-II                    | Uttar Pradesh | IEX                        |           | ≤0.04                  |
| 361   | 25-Oct-16        | 0.042213                     | RhSTPS-I                    | Uttar Pradesh | IEX                        |           | ≤0.04                  |
| 362   | 25-Oct-16        | 0.059520                     | RhSTPS-II                   | Uttar Pradesh | IEX                        |           | ≤0.04                  |
| 363   | 26-Oct-16        | 0.056000                     | IEX                         |               | CIL                        | Rajasthan | ≤0.04                  |
| 364   | 26-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat   | ≤0.04                  |
| 365   | 26-Oct-16        | 0.250000                     | Govt. of Goa                | Goa           | IEX                        |           | ≤0.04                  |
| 366   | 26-Oct-16        | 0.250000                     | IEX                         |               | Govt. of Goa               | Goa       | ≤0.04                  |
| 367   | 26-Oct-16        | 0.022250                     | NLC TS-II STG I             | Tamil Nadu    | IEX                        |           | ≤0.04                  |
| 368   | 26-Oct-16        | 1.050000                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |           | ≤0.04                  |
| 369   | 26-Oct-16        | 0.074400                     | IEX                         |               | NMMH                       | Karnataka | ≤0.04                  |
| 370   | 26-Oct-16        | 0.033000                     | FGUTPS-II                   | Uttar Pradesh | IEX                        |           | ≤0.04                  |
| 371   | 26-Oct-16        | 0.301200                     | FSTPS-III                   | West Bengal   | IEX                        |           | ≤0.04                  |
| 372   | 26-Oct-16        | 0.252500                     | Gandhar GPS                 | Gujarat       | IEX                        |           | ≤0.04                  |
| 373   | 26-Oct-16        | 1.663200                     | KSTPS-III                   | Chhatisgarh   | IEX                        |           | ≤0.04                  |
| 374   | 26-Oct-16        | 0.047000                     | NCTPS-I                     | Uttar Pradesh | IEX                        |           | ≤0.04                  |
| 375   | 26-Oct-16        | 0.147573                     | NCTPS-II                    | Uttar Pradesh | IEX                        |           | ≤0.04                  |
| 376   | 26-Oct-16        | 0.062250                     | RhSTPS-I                    | Uttar Pradesh | IEX                        |           | ≤0.04                  |
| 377   | 26-Oct-16        | 0.088250                     | RhSTPS-II                   | Uttar Pradesh | IEX                        |           | ≤0.04                  |
| 378   | 26-Oct-16        | 0.027250                     | RhSTPS-III                  | Uttar Pradesh | IEX                        |           | ≤0.04                  |
| 379   | 26-Oct-16        | 0.055000                     | SSTPS                       | Uttar Pradesh | IEX                        |           | ≤0.04                  |
| 380   | 27-Oct-16        | 0.028800                     | IEX                         |               | CIL                        | Rajasthan | ≤0.04                  |
| 381   | 27-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat   | ≤0.04                  |
| 382   | 27-Oct-16        | 0.250000                     | Govt. of Goa                | Goa           | IEX                        |           | ≤0.04                  |
| 383   | 27-Oct-16        | 0.250000                     | IEX                         |               | Govt. of Goa               | Goa       | ≤0.04                  |
| 384   | 27-Oct-16        | 0.034650                     | NLC TS-II STG I             | Tamil Nadu    | IEX                        |           | ≤0.04                  |
| 385   | 27-Oct-16        | 0.998665                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |           | ≤0.04                  |
| 386   | 27-Oct-16        | 0.074400                     | IEX                         |               | NMMH                       | Karnataka | ≤0.04                  |
| 387   | 27-Oct-16        | 0.274008                     | FSTPS-III                   | West Bengal   | IEX                        |           | ≤0.04                  |
| 388   | 27-Oct-16        | 0.348805                     | Gandhar GPS                 | Gujarat       | IEX                        |           | ≤0.04                  |
| 389   | 27-Oct-16        | 1.663200                     | KSTPS-III                   | Chhatisgarh   | IEX                        |           | ≤0.04                  |
| 390   | 27-Oct-16        | 0.086750                     | NCTPS-II                    | Uttar Pradesh | IEX                        |           | ≤0.04                  |
| 391   | 27-Oct-16        | 0.350000                     | SpSTPS-I                    | Chhatisgarh   | IEX                        |           | ≤0.04                  |
| 392   | 27-Oct-16        | 0.700000                     | SpSTPS-II                   | Chhatisgarh   | IEX                        |           | ≤0.04                  |
| 393   | 28-Oct-16        | 0.028800                     | IEX                         |               | CIL                        | Rajasthan | ≤0.04                  |
| 394   | 28-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat   | ≤0.04                  |
| 395   | 28-Oct-16        | 0.250000                     | Govt. of Goa                | Goa           | IEX                        |           | ≤0.04                  |
| 396   | 28-Oct-16        | 0.200000                     | IEX                         |               | Govt. of Goa               | Goa       | ≤0.04                  |
| 397   | 28-Oct-16        | 0.022200                     | NLC TS-II STG I             | Tamil Nadu    | IEX                        |           | ≤0.04                  |
| 398   | 28-Oct-16        | 0.022200                     | NLC TS-II STG II            | Tamil Nadu    | IEX                        |           | ≤0.04                  |
| 399   | 28-Oct-16        | 0.686478                     | NSPCL-Bh                    | Chhatisgarh   | IEX                        |           | ≤0.04                  |
| 400   | 28-Oct-16        | 0.074400                     | IEX                         |               | NMMH                       | Karnataka | ≤0.04                  |
| 401   | 28-Oct-16        | 0.036500                     | FGUTPS-I                    | Uttar Pradesh | IEX                        |           | ≤0.04                  |
| 402   | 28-Oct-16        | 0.061000                     | FGUTPS-II                   | Uttar Pradesh | IEX                        |           | ≤0.04                  |
| 403   | 28-Oct-16        | 0.021000                     | FGUTPS-III                  | Uttar Pradesh | IEX                        |           | ≤0.04                  |
| 404   | 28-Oct-16        | 0.297500                     | FSTPS-III                   | West Bengal   | IEX                        |           | ≤0.04                  |
| 405   | 28-Oct-16        | 0.328910                     | Gandhar GPS                 | Gujarat       | IEX                        |           | ≤0.04                  |
| 406   | 28-Oct-16        | 1.663200                     | KSTPS-III                   | Chhatisgarh   | IEX                        |           | ≤0.04                  |
| 407   | 28-Oct-16        | 0.113000                     | NCTPS-I                     | Uttar Pradesh | IEX                        |           | ≤0.04                  |
| 408   | 28-Oct-16        | 0.310070                     | NCTPS-II                    | Uttar Pradesh | IEX                        |           | ≤0.04                  |
| 409   | 28-Oct-16        | 0.700000                     | SpSTPS-I                    | Chhatisgarh   | IEX                        |           | ≤0.04                  |
| 410   | 28-Oct-16        | 0.700000                     | SpSTPS-II                   | Chhatisgarh   | IEX                        |           | ≤0.04                  |
| 411   | 29-Oct-16        | 0.052000                     | IEX                         |               | CIL                        | Rajasthan | ≤0.04                  |
| 412   | 29-Oct-16        | 0.228000                     | IEX                         |               | GNFC-D                     | Gujarat   | ≤0.04                  |
| 413   | 29-Oct-16        | 0.350000                     | Govt. of Goa                | Goa           | IEX                        |           | ≤0.04                  |
| 414   | 29-Oct-16        | 0.100000                     | IEX                         |               | Govt. of Goa               | Goa       | ≤0.04                  |
| 415   | 29-Oct-16        | 3.168725                     | NLC TS-I Exp                | Tamil Nadu    | IEX                        |           | ≤0.04                  |













| Form IV-H   | Renewable Energy Certificates (REC) Trading on Power Exchange by Trading Licensees |                                  |  |   |                        |               |                               |                        |
|---|--|----------------------------------|--|---|------------------------|---------------|-------------------------------|------------------------|
| Name of the Trading Licensee:   |  | NTPC VIDYUT VYAPAR NIGAM LIMITED |  |   |                        |               |                               |                        |
| Licence Details (No & Date) : Category-I ; No.6/Trading/CERC Dated 23rd July, 2004. |  |                                  |  |   |                        |               |                               |                        |
| Date of Trading:  |  | October-2016                     |  |   |                        |               |                               |                        |
| Sr. No.   | Name of REC Client   |                                  |  | Client Type<br>(Renewable generator/<br>Obligated Entities) | Name of Power Exchange | Volume (RECs) | Market Clearing Price (₹/REC) | Trading Margin (₹/KWh) |
| Non Solar RECs  |  |                                  |  |   |                        |               |                               |                        |
| 1   |  |                                  |  |   |                        |               |                               |                        |
| 2   |  |                                  |  |   |                        |               |                               |                        |
| 3   |  |                                  |  |   |                        |               |                               |                        |
| 4   |  |                                  |  |   |                        |               |                               |                        |
| 5   |  |                                  |  |   |                        |               |                               |                        |
| Solar RECs  |  |                                  |  |   |                        |               |                               |                        |
| 1   |  |                                  |  |   |                        |               |                               |                        |
| 2   |  |                                  |  |   |                        |               |                               |                        |
| 3   |  |                                  |  |   |                        |               |                               |                        |
| 4   |  |                                  |  |   |                        |               |                               |                        |
| 5   |  |                                  |  |   |                        |               |                               |                        |
| 6   |  |                                  |  |   |                        |               |                               |                        |

Form IV-I

Open Position of Inter-State Electricity Contracts by Trading Licensee

Name of the Trading Licensee:

NTPC VIDYUT VYAPAR NIGAM LIMITED

Licence Details (No & Date) : Category-I ; No.6/Trading/CERC Dated 23rd July, 2004.

Month: **October-2016**

| <b>Long Position of Trader (Purchased but not sold)</b>  |                               |  |                           |                          |
|--|-------------------------------|--|---------------------------|--------------------------|
| Sr.No  | Date of Contract (DD/MM/YYYY) | Uncontracted tenure (Open Position) in Days / Months | Uncontracted Volume (Mus) | Purchase Price (₹ /kwh)* |
| 1  |                               |  |                           |                          |
| 2  |                               |  |                           |                          |
| 3  |                               |  |                           |                          |
| 4  |                               |  |                           |                          |
| <b>Short Position of Trader (Sale but not purchased)</b> |                               |  |                           |                          |
| Sr.No  | Date of Contract (DD/MM/YYYY) | Uncontracted tenure (Open Position) in Days / Months | Uncontracted Volume (Mus) | Purchase Price (₹ /kwh)* |
| 1  |                               |  |                           |                          |
| 2  |                               |  |                           |                          |
| 3  |                               |  |                           |                          |
| 4  |                               |  |                           |                          |

\* Price has to be reported separately for each contract.



## List of abbreviations

| Abbreviated form | Details   | Category              | Abbreviated form of Constituents | Details  | Category              |
|------------------|---|-----------------------|----------------------------------|--|-----------------------|
| AFAL             | Alok Ferro Alloys Ltd.                                    | CPP                   | MSPSPL                           | MSP Steel & Power Ltd.                                   | CPP                   |
| APDCL            | Assam Power Distribution Company Ltd.                     | Distribution licensee | NEA                              | Nepal Electricity Authority                              | Govt. of Nepal        |
| APL              | Adani Power Limited                                       | IPP                   | NDPL                             | North Delhi Power Limited                                | Distribution licensee |
| APPC             | Andhra Pradesh Power Coordination Committee               | Distribution licensee | NCTPS                            | NTPC NCTPS Dadri   | Generator             |
| APEPDCL          | Andhra Pradesh Eastern Power Distribution Company Limited | Distribution licensee | NMMH                             | National Textile Corporation) Karnataka                  | Bulk consumer         |
| BALCO            | Bharat Aluminium Company Ltd.                             | CPP                   | NTPC                             | NTPC Ltd.  | Generator             |
| BMM-I            | BMM Ispat Ltd   | CPP                   | NLCTS                            | Neyveli Lignite corporation Ltd TPS                      | Generator             |
| BPDB             | Bangladesh Power Development Board                        | Bangladesh Govt.      | Nagaland                         | Govt. of Nagaland Power Department                       | Government            |
| BESCOM           | Bangalore Electricity Supply Company Limited              | Bangladesh Govt.      | PSPCL                            | Punjab State Power Corporation Ltd.                      | Distribution licensee |
| BRPL             | BSES Rajdhani Power Limited                               | Distribution licensee | PANM                             | Pankaja Mills  | Bulk consumer         |
| BYPL             | BSES Yamuna Power Limited                                 | Distribution licensee | RDPPC                            | Rajasthan Discoms Power Procurement Centre               | Distribution licensee |
| CESC             | Calcutta Electric Supply Company                          | Distribution licensee | RINFRA                           | Reliance Infrastructure Limited                          | Distribution licensee |
| CMBD             | Cambodia Mills CBE MEDC HTSC 111                          | Bulk consumer         | RSTPS                            | Ramagundam Super Thermal Power Station                   | Generator             |
| CSPDCL           | Chhattisgarh State Power Distribution Company Limited     | Distribution licensee | RHSTPS                           | NTPC Rihand STPS   | Generator             |
| CMGN             | Coimbatore Murugan Mills CBE MEDC HTSC 79                 | Bulk consumer         | SAL Steel                        | SAL Steel Ltd.   | CPP                   |
| ESIL             | Essar Steel India Ltd                                     | CPP                   | SBPIL                            | Shri Bajrang Power & Ispat Ltd.                          | CPP                   |
| FGUTPS           | NTPC Feroz Gandhi Unchahar TPS                            | Generator             | SCPL                             | Sai Chemicals Private Limited                            | CPP                   |
| FSTPS            | NTPC Farakka STPS   | Generator             | SSTPS                            | NTPC Singrauli STPS                                      | Generator             |
| Gandhar GPS      | NTPC Gandhar GPS  | Generator             | SRVM                             | Sri Rangavilas GS & W Mills                              | Bulk consumer         |
| GPIL             | Godawari Power and Ispat Ltd.                             | CPP                   | Sikkim EPD                       | Govt. of Sikkim Energy & Power Department                | Government            |
| GUVNL            | Gujarat Urja Vikas Nigam Limited                          | Distribution licensee | SEL                              | Sesa Sterlite Ltd.                                       | IPP                   |
| Govt. of Goa     | Govt. of Goa  | Government            | SMSTPS                           | NTPC Simhadri STPS                                       | Generator             |
| GNFC-D           | Gujrat Narmada valley fertilisers & Chemicals Ltd         | Bulk consumer         | STL                              | Sterlite Technologies Ltd                                | CPP                   |
| HPPC             | Haryana Power Purchasing Centre                           | Distribution licensee | TSTPS                            | NTPC Talcher STPS  | Generator             |
| HESCOM           | Hubli Electricity Supply Company Limited                  | CPP                   | TSSPDCL                          | Southern Power Distribution Company of Telangana Limited | Distribution licensee |
| I IPL            | Indrajit Infrastructure Pvt. Ltd.                         | CPP                   | TANGEDCO                         | Tamil Nadu Generation & Distribution Company             | Distribution licensee |
| IPP              | Independent Power Producer                                | Government            | TISCO                            | TATA Iron & Steel Company Ltd.                           | CPP                   |
| ISGS             | Inter State Generating Station                            | TPL                   | Torrent Power                    | Torrent Power Limited                                    | IPP                   |
| J&K PDD          | Jammu & Kashmir Power Development Dept.                   | CPP                   | TSECL                            | Tripura State Electricity Corporation Ltd                | Distribution licensee |
| JCFPL            | Jaydeep Cotton Fibres Pvt Ltd                             | IPP                   | TVNL                             | Tenughat Vidyut Nigam Ltd.                               | Generator             |
| JPL              | Jindal Power Ltd.   | Generator             | UPCL                             | Uttarakhand Power Corporation Ltd.                       | Distribution licensee |
| JPVL             | Jaiprakash Power Ventures Ltd.                            | Distribution licensee | URS                              | Unrequisitioned surplus from NTPC Stations               | Generator             |
| JNSTPP           | Jaypee Nigrie Super Thermal Power Project                 | Trading licensee      | UT CHD                           | Union Territory of Chandigarh                            | Government            |
| KLMB             | Kaleeswara Mills  | Bulk consumer         | Varrsana Ispat                   | Varrsana Ispat Ltd                                       | CPP                   |
| KSEB             | Kerala State Electricity Board                            | Distribution licensee | HPSEB                            | Himanchal Pradesh State Electricity Board                | Distribution licensee |
| KSTPS            | NTPC Korba STPS   | Generator             | VESPL                            | Vandanaa Energy &Steel (P) Ltd                           | CPP                   |
| MPL              | Maithon Power Limited                                     | IPP                   | VGL                              | Vandana Global Ltd.                                      | CPP                   |
| MESCOM           | Mangalore Electricity Supply Company Limited              | Distribution licensee | VIL                              | Vasvani Industries Ltd.                                  | CPP                   |
| MeECL            | Meghalaya Energy Corporation Ltd.                         | Distribution licensee | VSTPS                            | Vindhyachal Super Thermal Power Station                  | Generator             |
| MIEL,Raigarh     | Monnet Ispat & Energy Ltd., Raigarh                       | CPP                   | VVL                              | Vandana Vidyut Ltd.                                      | CPP                   |
| MIEL,Raipur      | Monnet Ispat & Energy Ltd., Raipur                        | CPP                   | WBSEDCL                          | West Bengal State Electricity Distribution Company Ltd.  | Distribution licensee |
| MSEDCL           | Maharashtra State Electricity Distribution Company Ltd.   | Distribution licensee | CIL                              | Cairn India Limited Rajasthan                            | Obligated Entity      |
| MSPL             | Mahendra Sponge & Power Ltd.                              | CPP                   | JPNSTPS                          | Jaypee Nigrie STPS                                       | Generator             |
| PNRS             | Pioneer Spinners  | Consumer              | APL -STG3                        | Adani Power Ltd. Stage-3                                 | Generator             |
| SpSTPS-I         | NTPC Sipat STPS Stage-I                                   | Generator             | KSTPS-I & II                     | NTPC Korba STPS-I & II                                   | Generator             |
| SpSTPS-II        | NTPC Sipat STPS Stage-II                                  | Generator             |                                  |  |                       |